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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>C08F 10/00, 12/04</b>		A1	(11) International Publication Number: <b>WO 95/29940</b> (43) International Publication Date: <b>9 November 1995 (09.11.95)</b>
(21) International Application Number: <b>PCT/US95/05302</b>		(81) Designated States: AM, AU, BB, BG, BR, BY, CA, CN, CZ, EE, FI, GE, HU, JP, KG, KR, KZ, LK, LR, LT, LV, MD, MG, MN, MW, MX, NO, NZ, PL, RO, RU, SG, SI, SK, TJ, TT, UA, UG, UZ, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).	
(22) International Filing Date: <b>28 April 1995 (28.04.95)</b>			
(30) Priority Data: <b>08/234,782 28 April 1994 (28.04.94) US</b>			
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(54) Title: CATIONIC CATALYSTS AND PROCESS FOR USING SAID CATALYSTS			
(57) Abstract			
A cationic catalyst composition comprising a reactive cation and a compatible non-coordinating anion is preferably used at a temperature of 20 °C or less to produce olefin polymers, particularly polymers and copolymers of isobutylene.			

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## CATIONIC CATALYSTS AND PROCESS FOR USING SAID CATALYSTS

### STATEMENT OF RELATED CASES

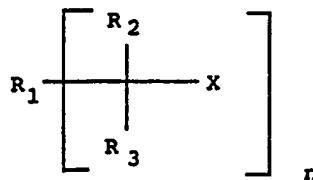
5        This case is a continuation-in-part of USSN 08/234,782, filed April 28, 1994.

### FIELD OF THE INVENTION

10      This invention relates to a method for the cationic polymerization of olefins using catalysts comprising a cation and a compatible non-coordinating anion. This invention further relates to the use of complexes containing a compatible non-coordinating anion to initiate cationic polymerization.

### BACKGROUND OF THE INVENTION:

15      The cationic polymerization of olefins is typically conducted in the presence of catalyst systems comprising a Lewis acid, a tertiary alkyl initiator molecule containing a halogen, ester, ether, acid or alcohol group and occasionally an electron donor molecule such as ethyl acetate. The exact combination of the components varies with each system. The tertiary alkyl initiators used in these 20 systems are used for living and non-living carbocationic catalysts and are typically represented by the formula:



25      wherein R1, R2, and R3 are a variety of alkyl or aromatic groups or combinations thereof, n is the number of initiator molecules and X is the functional group on which the Lewis acid affects a change to bring about the carbocationic initiating site. This group is typically a halogen, ester, ether, alcohol or acid group depending on the Lewis acid employed. One or two functional groups per initiator 30 tend to lead to linear polymers while three or more tend to lead to star polymers.

      Catalyst systems based on halogens and/or alkyl containing Lewis acids, such as boron trichloride and titanium tetrachloride, use various combinations of the above components and typically have similar process characteristics. For living

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polymerization systems, Lewis acid concentrations typically must exceed the concentration of initiator sites by 16 to 40 times in order to achieve 100 percent conversion in 30 minutes (based upon a degree of polymerization equal to 890) at -75 to -80°C.

5        Additional living systems disclosed in U.S. 4,929,683 and 4,910,321 discloses Lewis acids in combination with organic acids, organic esters, or organic ethers to form cationic polymerization initiators that also create a complex counter anion which is disclosed to not assist or cause proton elimination.

10      In non-living polymerizations, high molecular weight polyisobutylenes are only prepared at low temperatures (-60 to -100°C) and at catalyst concentrations exceeding one catalyst molecule per initiator molecule. Frequently, these catalysts are restricted in their use to certain narrow temperature regions and concentration profiles. An improved catalyst could be used stoichiometrically rather than in excess to provide enough initiation sites over a wide range of temperatures

15      without affecting its suitability.

20      In another catalyst area, a new class of catalysts utilizing compatible non-coordinating anions in combination with cyclopentadienyl transition metal compounds (also called metallocenes) has recently been disclosed. Descriptions of these catalysts appear in EP-A-0 277 003, EP-A-0 277 004 and US patent 5,198,401 and WO-A-92/00333. These disclose a preferred method of preparation wherein metallocenes (biscyclopentadienyl and monocyclopentadienyl transition metal compounds) are protonated by an anionic precursor such that an alkyl/hydride group is abstracted from a transition metal to make it both cationic and charge-balanced by the non-coordinating anion.

25      The use of ionizing compounds not containing an active proton is also known. See, EP-A-0 426 637 and EP-A- 0 573 403. An additional method of making ionic catalysts is disclosed in EP-A-0 520 732. Ionic catalysts for addition polymerization can also be prepared by oxidation of the metal centers of transition metal compounds by anionic pre-cursors containing metallic oxidizing groups

30      along with the anion groups, see EP-A-0 495 375.

35      Methods of supporting ionic catalysts comprising metallocene cations and non-coordinating anions are described in WO 91/09882, WO 94/03506 and in co-pending U.S. Ser. No. 08/248,284, filed August 3 1994. U.S. patent 5,066,741 discloses the preparation of syndiotactic polystyrene or poly(vinyl aromatics) using non-coordinating anions in combination with cyclopentadienyl transition metal derivatives under coordination catalysis conditions. (US Patents 5,196,490 and

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4,808,680 disclose a similar preparation using an alumoxane.) These catalyst systems are employed at 70°C and are used both in non-polar solvents and in bulk. Jordan, in the Journal of the American Chemical Society (1986, 108, 1718-1719) discloses that tetraphenyl boron forms stable complexes with bis 5 cyclopentadienyl zirconium dimethyl, unhindered Lewis bases such as 4,4'-dimethyl-bipyridine and 4-(dimethylamino) pyridine in CH<sub>3</sub>CN and also disclosed that tetraphenyl boron is stable in THF solution.

In another area, the manufacture of a triisopropyl silylum has been reported in C&EN November 8, 1993 and in Science, 262, 402, 1993.

10 It is desirable that a new catalyst system utilizing compatible non-coordinating anions in polar or non-polar solvent be produced that can polymerize olefins heretofore only polymerizable by cationic catalyst as well as typical monomers polymerized by coordination catalysis, preferably at nearly the same time.

15

#### SUMMARY OF THE INVENTION

20 This invention relates in part to the discovery that a non-coordinating anion with certain cations form cationic polymerization catalyst systems. In addition this invention further relates to the discovery that monomer and/or temperature and/or solvent choice can determine the reaction mechanism (coordination versus 25 cationic) for catalyst systems comprising a cyclopentadienyl transition metal derivative and a compatible non-coordinating anion.

30 Therefore, in accordance with this invention, there is provided a cationic polymerization catalyst system, a method for cationic polymerization using a catalyst system which comprises a compatible non-coordinating anion. Another aspect of the invention is directed toward certain novel catalyst systems for cationic polymerization and a method of using this catalyst system for the polymerization of cationically polymerizable olefins. A particularly desirable aspect is the polymerization by both coordination mechanism and cationic mechanism in the same reactor at about the same time.

#### DESCRIPTION OF THE FIGURES

Figure 1 is a <sup>1</sup>H-NMR of Fraction C of sample 158-3.

Figure 2 is a <sup>1</sup>H-NMR of Fraction 4 of samples 158-3 & 158-1.

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#### DESCRIPTION OF PREFERRED EMBODIMENTS

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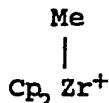
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This invention relates to a method for cationic polymerization which utilizes a catalyst composition comprising a reactive cation and a compatible non-coordinating anion. The catalyst composition comprising the compatible non-coordinating anion will include a reactive cation. A reactive cation is any cation 5 that can react with an olefin to create a carbocationic polymerization site.

A "compatible non-coordinating anion," ("NCA") is defined to be an anion which either does not coordinate the cation or which is only weakly coordinated to the cation thereby remaining sufficiently labile to be displaced by an olefin monomer. Further the phrase "compatible non-coordinating anion" specifically 10 refers to an anion which when functioning as a stabilizing anion in the catalyst systems of this invention does not irreversibly transfer an anionic substituent or fragment thereof to the cation thereby forming a neutral byproduct or other neutral compound. Compatible non-coordinating anions are anions which are not degraded to neutrality when the initially formed complex decomposes. Preferred 15 examples of such compatible non-coordinating anions include:  
alkyltris(pentafluorophenyl) boron ( $RB(pfp)_3^-$ ), tetraperfluorophenylboron ( $B(pfp)_4^-$ ), tetraperfluorophenylaluminum, carboranes, halogenated carboranes and the like. Hereinafter the use of the phrase NCA or non-coordinating anion means 20 the compatible non-coordinating anions described above and other compatible non-coordinating anions.

This catalyst system can be used, among other things, for the cationic polymerization of olefins, especially traditional cationically polymerizable olefins, particularly geminally disubstituted olefins. Certain of these catalyst systems, those using metallocenes, can also be used to polymerize both cationically polymerizable 25 monomers and typical coordination polymerizable monomers at nearly the same time in the same reactor or process.

For ease of description the formulae presented below depict the catalyst components in the "ionic" state. One of ordinary skill in the art will realize that many of these components are not stable as depicted and are obtained from a 30 neutral stable form. For example



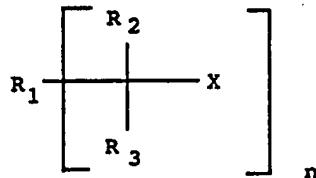
typically does not exist in this state alone. (Rather it is formed by reacting  $\text{Cp}_2\text{ZrMe}_2$  with another compound that will abstract an Me group.) This convention of describing the components in "ionic" form is used for descriptive 35 purposes only and should not be construed as limiting in any way.

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For references describing the neutral stable forms and the synthesis of the cyclopentadienyl transition metal compositions and the NCA see European Patent Application ("EPA") 129 368, published December 27, 1984; US Patent 5,055,438; WO-A-92/00333; EPA 551 277 published July 1993; EPA 520 732, 5 published December 30, 1992; US Patent 5,017,714; U.S. Patent 5,198,401; US Patent 5,153,157; EPA 277 003 and 277 004 published August 5, 1992; EP-A-0 426 637; EP-A-0 573 403; EP-A-0 520 732; and EP-A-0 495 375 all of which are incorporated by reference herein.

For a description of compounds capable of producing the ionic species in situ See EP-A-0 500 944 and EP-A1-0 570 982 which disclose *in situ* processes describing the reaction of alkyl aluminum compounds with dihalo-substituted metallocene compounds prior to or with the addition of activating anionic compounds.

For references describing the neutral stable forms of the substituted carbocations and synthesis thereof see US Patent 4,910,321; US Patent 4,929,683; and EPA 341 012. In general the neutral stable form is typically represented by the formula:



wherein R1, R2, and R3 are a variety of substituted or unsubstituted alkyl or aromatic groups or combinations thereof, n is the number of initiator molecules and is preferably greater than or equal to 1, even more preferably between 1 and 30, and X is the functional group on which the Lewis acid affects a change to bring about the carbocationic initiating site. This group is typically a halogen, ester, ether, alcohol or acid group depending on the Lewis acid employed.

For a description of stable forms of the substituted silylum and synthesis thereof, see F.A. Cotton, G. Wilkinson, Advanced Inorganic Chemistry, John Wiley and Sons, New York 1980. Likewise for stable forms of the cationic tin, germanium and lead compositions and synthesis thereof see Dictionary of Organometallic compounds, Chapman and Hall New York 1984.

**Catalyst System: General Description**

Catalyst systems of this invention generally comprise two components: a reactive cation and a compatible non-coordinating anion.

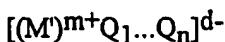
**Non-Coordinating Anions**

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A preferred class of compatible non-coordinating anions includes chemically stable, non-nucleophilic substituted anionic complexes.

Any metal or metalloid compound capable of forming an anionic complex which is resistant to irreversibly transferring a substituent or fragment to the cation to neutralize the cation to produce a neutral molecule may be used as the NCA. In 5 addition any metal or metalloid capable of forming a coordination complex which is stable in water may also be used or contained in a composition comprising the anion. Suitable metals include, but are not limited to aluminum, gold, platinum and the like. Suitable metalloids include, but are not limited to, boron, phosphorus, 10 silicon and the like. Compounds containing anions which comprise coordination complexes containing a single metal or metalloid atom are, of course, well known and many, particularly such compounds containing a single boron atom in the anion portion, are available commercially. In light of this, salts containing anions comprising a coordination complex containing a single boron atom are preferred.

15 In general, preferred NCAs may be represented by the following general formula:



wherein:

M' is a metal or metalloid;

20 Q<sub>1</sub> to Q<sub>n</sub> are, independently, bridged or unbridged hydride radicals, dialkylamido radicals, alkoxide and aryloxide radicals, hydrocarbyl and substituted-hydrocarbyl radicals, halocarbyl and substituted-halocarbyl radicals and hydrocarbyl and halocarbyl-substituted organometalloid radicals and any one, but not more than one of Q<sub>1</sub> to Q<sub>n</sub> may be a halide radical;

25 m is an integer representing the formal valence charge of M';

n is the total number of ligands Q, and

d is an integer greater than or equal to 1.

It is of course understood that the anions described above and below are counter balanced with a positively charged component that is removed before the 30 anion acts with the reactive cation.

In a preferred embodiment M' is boron, n= 4, Q<sub>1</sub> and Q<sub>2</sub> are the same or different aromatic or substituted-aromatic hydrocarbon radicals containing from about 6 to about 20 carbon atoms and may be linked to each other through a stable bridging group; and

35 Q<sub>3</sub> and Q<sub>4</sub> are, independently, hydride radicals, hydrocarbyl and substituted-hydrocarbyl radicals, halocarbyl and substituted-halocarbyl radicals, hydrocarbyl- and halocarbyl-substituted organometalloid radicals, disubstituted

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pnictogen radicals, substituted chalcogen radicals and halide radicals, with the proviso that  $Q_3$  and  $Q_4$  will not be halide at the same time.

Illustrative, but not limiting, examples of boron components which may be used as NCAs are: tetra-valent boron compounds such as tetra(phenyl)boron, 5 tetra(p-tolyl)boron, tetra(o-tolyl)boron, tetra(pentafluorophenyl)boron, tetra(o,p-dimethylphenyl)boron, tetra(m,m-dimethylphenyl)boron, (p-trifluoromethylphenyl)boron and the like.

10 In a particularly preferred embodiment  $M$  = boron,  $n = 4$ ,  $Q_1$ ,  $Q_2$  and  $Q_3$  are each ( $C_6F_5$ ) and  $Q_4$  is as defined above. Illustrative but not limiting, examples of those preferred NCAs comprising boron triphenylmethyl salts where  $Q$  is a simple hydrocarbyl such as methyl, butyl, cyclohexyl, or phenyl or where  $Q$  is a polymeric hydrocarbyl of indefinite chain length such as polystyrene, polyisoprene, or poly-paramethylstyrene.

15 Another preferred class of NCAs is that class comprising those NCA containing a plurality of boron atoms, including boranes and carboranes.

Illustrative, but not limiting, examples of NCAs include:

carboranes such as dodecaborate, decachlorodecaborate, dodecachlorododecaborate, 1-carbadecaborate, 1-carbadecaborate, 1-trimethylsilyl-1-carbadecaborate;

20 Borane and carborane complexes and salts of borane and carborane anions such as decaborane(14), 7,8-dicarbadecaborane(13), 2,7-dicarbaundecaborane(13), undecahydrido-7,8-dimethyl-7,8-dicarbaundecaborane, 6-carbadecaborate(12), 7-carbaundecaborate, 7,8-dicarbaundecaborate; and

25 Metallaborane anions such as bis(nonahydrido-1,3-dicarbanonaborato)cobaltate(III), bis(undecahydrido-7,8-dicarbaundecaborato)ferrate(III), bis(undecahydrido-7,8-dicarbaundecaborato)cobaltate(III), bis(undecahydrido-7,8-dicarbaundecaborato)nikelate(III), bis(nonahydrido-7,8-dimethyl-7,8-dicarbaundecaborato)ferrate(III), bis(tribromoocatahydrido-7,8-dicarbaundecaborato)cobaltate(III), bis(undecahydridodicarbadecaborato)cobaltate(III) and bis(undecahydrido-7-carbaundecaborato)cobaltate(III).

30 The NCA compositions most preferred for forming the catalyst system used in this process are those containing a tris-perfluorophenyl boron, tetrapentafluorophenyl boron anion and/or two or more tripentafluorophenyl boron anion groups covalently bond to a central atomic molecular or polymeric complex or particle.

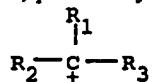
#### Cationic Component

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In various preferred embodiments of this invention the NCA is combined with one or more reactive cations that are selected from different classes of cations and cation sources.

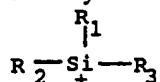
Some preferred classes are:

5 (A) cyclopentadienyl transition metal complexes and derivatives thereof.  
 (B) substituted carbocations, preferably those represented by the formula:



wherein R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof, preferably C<sub>1</sub> to C<sub>30</sub> alkyl, aryl, aralkyl groups or derivatives thereof, 10 provided that only one of R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> may be hydrogen at any one time;

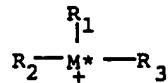
(C) substituted silylium; preferably those represented by the formula:



wherein R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof, preferably C<sub>1</sub> to C<sub>30</sub> alkyl, aryl, aralkyl groups or derivatives thereof, 15 provided that only one of R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> may be hydrogen at any one time;

(D) compositions capable of generating a proton as further described below; and

(E) cationic compositions of germanium, tin or lead, some of which are represented by the formula:



20 wherein R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof, preferably C<sub>1</sub> to C<sub>30</sub> alkyl, aryl, aralkyl groups or derivatives thereof, and M\* is Ge, Sn or Pb, provided that only one of R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> may be hydrogen at any one time.

25 A. Cyclopentadienyl Metal Derivatives

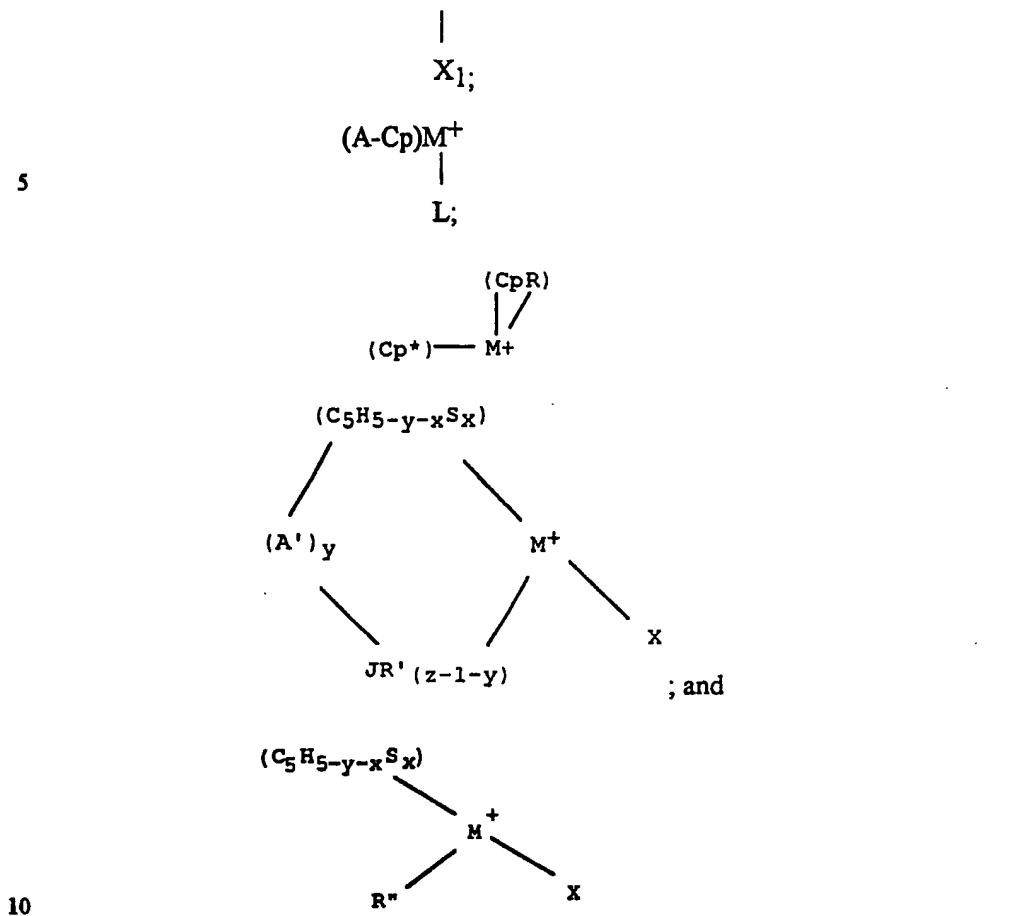
Preferred cyclopentadienyl metal derivatives include compounds that are a mono-, bis- or tris- cyclopentadienyl derivative of a Group 4, 5 or 6 transition metal. Preferred compositions include mono-cyclopentadienyl (Mono-Cp) or bis-cyclopentadienyl (Bis-Cp) Group 4 transition metal compositions, particularly a zirconium, titanium and/or hafnium compositions.

30 Preferred cyclopentadienyl derivatives that may be combined with non-coordinating anions are represented by the following formulae:



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wherein:

(A-Cp) is either  $(Cp)(Cp^*)$  or  $Cp-A'-Cp^*$ ;

Cp and  $Cp^*$  are the same or different cyclopentadienyl rings substituted with from zero to five substituent groups S, each substituent group S being, independently, a radical group which is a hydrocarbyl, substituted-hydrocarbyl, halocarbyl, substituted-halocarbyl, hydrocarbyl-substituted organometalloid, halocarbyl-substituted organometalloid, disubstituted boron, disubstituted pnictogen, substituted chalcogen or halogen radicals, or Cp and  $Cp^*$  are cyclopentadienyl rings in which any two adjacent S groups are joined forming a  $C_4$  to  $C_{20}$  ring system to give a saturated or unsaturated polycyclic cyclopentadienyl ligand;

R is a substituent on one of the cyclopentadienyl radicals which is also bonded to the metal atom;

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A' is a bridging group, which group may serve to restrict rotation of the Cp and Cp\* rings or (C<sub>5</sub>H<sub>5-y-x</sub>S<sub>x</sub>) and JR'(z-1-y) groups;

M is a Group 4, 5, or 6 transition metal;

y is 0 or 1;

5 (C<sub>5</sub>H<sub>5-y-x</sub>S<sub>x</sub>) is a cyclopentadienyl ring substituted with from zero to five S radicals;

x is from 0 to 5 denoting the degree of substitution;

JR'(z-1-y) is a heteroatom ligand in which J is a Group 15 element with a coordination number of three or a Group 16 element with a coordination number

10 of 2, preferably nitrogen, phosphorus, oxygen or sulfur;

R" is a hydrocarbyl group, preferably an alkyl group;

X and X<sub>1</sub> are independently a hydride radical, hydrocarbyl radical, substituted hydrocarbyl radical, halocarbyl radical, substituted halocarbyl radical, and hydrocarbyl- and halocarbyl-substituted organometalloid radical, substituted

15 pnictogen radical, or substituted chalcogen radicals; and

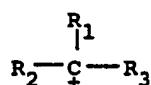
L is an olefin, diolefin or aryne ligand, or a neutral Lewis base.

Additional cyclopentadienyl compounds that may be used in this invention are described in U.S. Patent Applications 133,480; and EPA 551 277, and U.S. Patents 5,055,438, 5,278,119, 5,198,401 and 5,096,867, which are incorporated by reference herein.

20 **B. Substituted Carbocation Cations**

Another preferred source for the cation is substituted carbocations. Preferred examples include substances that are represented by the formula:

25



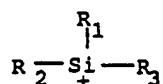
wherein R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently hydrogen, or a linear, branched or cyclic aromatic or aliphatic groups, preferably a C<sub>1</sub> to C<sub>20</sub> aromatic or aliphatic group, provided that only one of R<sub>1</sub>, R<sub>2</sub> or R<sub>3</sub> may be hydrogen. In a preferred embodiment none of R<sub>1</sub>, R<sub>2</sub> or R<sub>3</sub> are H. Preferred aromatics include phenyl, toluyl, xylyl, biphenyl and the like. Preferred aliphatics include methyl, ethyl, propyl, butyl, pentyl, hexyl, octyl, nonyl, decyl, dodecyl, 3-methylpentyl, 3,5,5-trimethylhexyl and the like. In a particularly preferred embodiment, when R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are phenyl groups, the addition of an aliphatic or aromatic alcohol

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significantly enhances the polymerization of isobutylene. These cations may be derived from compounds such as  $\text{Ph}_3\text{CB}(\text{pfp})_4$ .

**C. Substituted Silylum Cations**

In another preferred embodiment, substituted silylum compositions, 5 preferably trisubstituted silylum compositions are combined with NCA's to polymerize monomers. Preferred silylum cations are those represented by the formula:



10

wherein  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  are independently hydrogen, a linear, aromatic or aliphatic group, provided that only one of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen, preferably none of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen. Preferred aromatics include phenyl, toluyl, xylyl, biphenyl and the like. Preferred aliphatics include methyl, ethyl, propyl, butyl, 15 pentyl, hexyl, octyl, nonyl, decyl, dodecyl, 3-methylpentyl, 3,5,5-trimethylhexyl and the like. In a particularly preferred embodiment  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  are  $\text{C}_1$  to  $\text{C}_{20}$  aromatic or aliphatic groups, with  $\text{C}_1$  to  $\text{C}_8$  alkyls being especially preferred. Preferred examples include trimethylsilylum, triethylsilylum, benzyl- 20 dimethylsilylum, and the like. These cations may be prepared by the exchange of the hydride group of the  $\text{R}_1\text{R}_2\text{R}_3\text{Si-H}$  with the NCA, such as  $\text{Ph}_3\text{C}^+\text{B}(\text{pfp})_4^-$  yielding compositions such as  $\text{R}_1\text{R}_2\text{R}_3\text{SiB}(\text{pfp})_4$  which in the appropriate solvent obtain the cation.

**D. Composition Capable of Generating a Proton**

A fourth source for the cation is any compound that will produce a proton 25 when combined with the non-coordinating anion or a composition containing a non-coordinating anion. Protons may be generated from the reaction of a stable carbocation salt which contains a non-coordinating, non-nucleophilic anion with water, alcohol or phenol to produce the proton and the corresponding by-product, (either in the case of an alcohol or a phenol and alcohol in the case of water). 30 Such reaction may be preferred in the event that the reaction of the carbocation salt is faster with the protonated additive as compared with its reaction with the olefin. Other proton generating reactants include thiols, carboxylic acids, and the like. Similar chemistries may be realized with silylum type catalysts. In another embodiment, when low molecular weight polymer product is desired an aliphatic 35 or aromatic alcohol may be added to inhibit the polymerization.

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Another method to generate a proton comprises combining a Group 1 or Group 2 metal cation, preferably lithium, with water, preferably in a wet, non-protic organic solvent, in the presence of a Lewis base that does not interfere with polymerization. A wet solvent is defined to be a hydrocarbon solvent partially or 5 fully saturated with water. It has been observed that when a Lewis base, such as isobutylene, is present with the Group 1 or 2 metal cation and the water, a proton is generated. In a preferred embodiment the non-coordinating anion is also present in the "wet" solvent such that active catalyst is generated when the Group 1 or 2 metal cation is added.

10 **E. Germanium, Tin and Lead Compositions**

Another preferred source for the cation is substituted germanium, tin or lead cations. Preferred examples include substances that are represented by the formula:



wherein  $R_1$ ,  $R_2$  and  $R_3$  are independently hydrogen, or a linear, branched or cyclic aromatic or aliphatic groups, preferably a  $C_1$  to  $C_{20}$  aromatic or aliphatic group, provided that only one of  $R_1$ ,  $R_2$  or  $R_3$  may be hydrogen and  $M$  is germanium, tin 20 or lead. In a preferred embodiment none of  $R_1$ ,  $R_2$  or  $R_3$  are H. Preferred aromatics include phenyl, toluyl, xylyl, biphenyl and the like. Preferred aliphatics include methyl, ethyl, propyl, butyl, pentyl, hexyl, octyl, nonyl, decyl, dodecyl, 3-methylpentyl, 3,5,5-trimethylhexyl and the like. These cations may be prepared by the exchange of the hydride group of the  $R_1R_2R_3M-H$  with the NCA, such as 25  $Ph_3C^+B(pfp)_4^-$ .

**ACTIVE CATALYST SYSTEM**

**A. Cyclopentadienyl Transition Metal Compounds**

The  $Cp$  transition metal cations (referred to also as  $CpTm^+$ ) can be 30 combined into an active catalyst in at least two ways. A first method is to combine a compound comprising the  $CpTm^+$  with a second compound comprising the NCA which then react to form a byproduct and the active cation/anion compatible "non-coordinating" pair. Likewise, the  $CpTm^+$  compound may also be directly combined with the NCA to form the active catalyst system. Typically the NCA is

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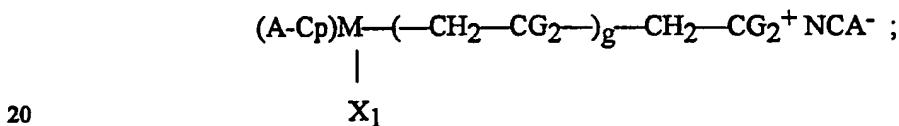
combined with the cation/cation source in ratios of 1 to 1, however ratios of 1 to 100 ( $\text{CpTm}^+$  to NCA) also work in the practice of this invention.

5 Active cationic catalysts can be prepared by reacting a transition metal compound with some neutral Lewis acids which upon reaction with a hydrolyzable ligand (X) of the transition metal compound forms an anion, such as ( $[\text{B}(\text{C}_6\text{F}_5)_3(\text{X})]^-$ ), which stabilizes the cationic transition metal species generated by the reaction.

10 Novel catalyst systems of this invention are those wherein the cation is a substituted carbon cation, a substituted silylum cation, a proton, a substituted germanium cation, a substituted zinc cation or a substituted lead cation with the preferred counterion being  $\text{B}(\text{pfp})_3\text{R}^-$  or  $\text{B}(\text{pfp})_4^-$ .

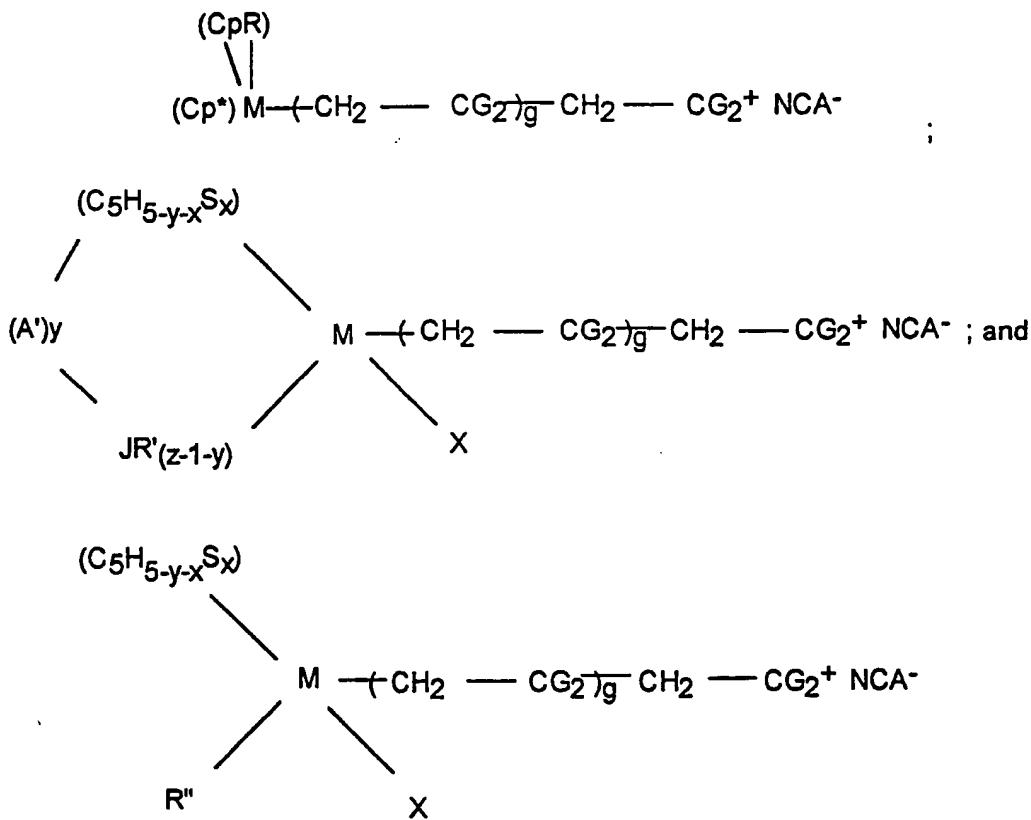
In another preferred embodiment the NCA is introduced into the solvent as a compound containing both the anion and the cation in the form of the active catalyst system:

15 A novel aspect of this invention is the active carbocationic catalyst complex which is formed and which can be represented by the formulae:



20

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5 wherein each G is independently hydrogen or an aromatic or aliphatic group, preferably a C<sub>1</sub> to C<sub>100</sub> aliphatic group, and g is an integer representing the number of monomer units incorporated into the growing polymer chain, g is preferably a number greater than or equal to 1, preferably a number from 1 to about 150,000. NCA<sup>-</sup> is any non-coordinating anion as described above. All other symbols are as defined above.

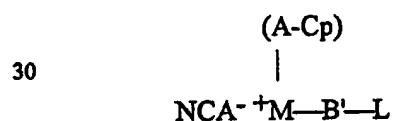
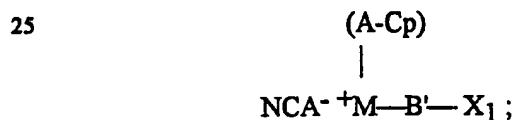
10 A particularly novel aspect of this invention is an active catalyst composition that polymerizes both cationically and by coordination mechanism. In polymerization environment containing both coordination monomer and cationic monomer, as defined below, it has been found that if the monomers are added sequentially and not at the same time, a portion of the active catalyst acts as cationic catalyst and polymerizes the cationic monomer, a second portion of the catalyst acts a coordination catalyst and polymerizes coordination monomer, and in some instances, a third portion of the catalyst does polymerizes both cationic monomer and coordination monomer to produce copolymers, preferably block copolymers. Since block copolymers are produced, there is an intermediate

- 15 -

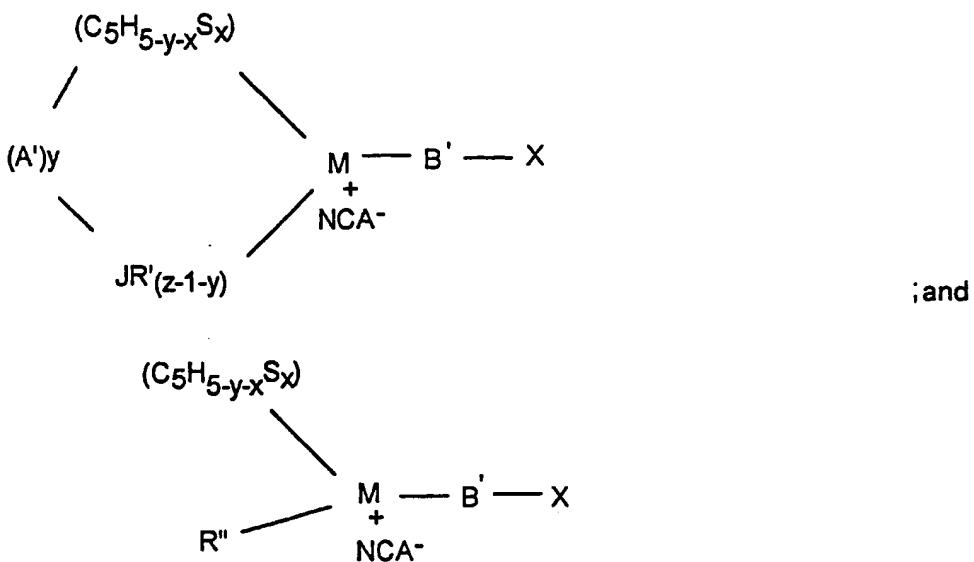
catalyst structure between those that polymerize solely by cationic mechanism and those that polymerize solely by coordination mechanism. It has also been discovered that these cyclopentadienyl derivative NCA catalyst systems can be triggered to polymerize by a selected mechanism, i.e. cationic or coordination, by 5 combining them with a cationic monomer at lower temperatures in a polar solvent or a coordination monomer at higher temperatures in a polar or non-polar solvent, respectively. It has also been found that when coordination monomer and cationically polymerizable monomer are added to a polymerization zone at the same time, the coordination monomer polymerizes selectively over the cationically 10 polymerizable monomer. However when the two monomers are added sequentially, even by a very small amount of time, both monomers are polymerized by the catalyst system present. Furthermore, the order of addition of the two monomers may be either monomer first.

Thus this invention provides the opportunity to provide intimate blends of 15 polymers. For example one could begin by polymerizing a typical cationically polymerizable monomer, such as isobutylene, in polar solvent at a temperature below zero °C with a cyclopentadienyl derivative of a group 4 transition metal and a non-coordinating anion, then adding a typical coordination monomer, such as ethylene, and raise the polymerization temperature to 20 °C and obtain intimate 20 blends of poly(cationically polymerizable monomer) and poly (coordination monomer), such as intimate blends of polyisobutylene and polyethylene.

In another embodiment this invention also provides active catalyst 25 compositions which can be represented by the formulae:



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wherein  $B'$  is a polymer of one or more monomers selected from the group consisting of iso( $C_4$  to  $C_{24}$ )olefins, such as isobutylene, isopentene, isoheptene, isohexane, isooctene, isodecene, and isododecene; alpha-heteroatom olefins, such as, vinyl ether, vinyl carbazole; alpha-alkyl-styrenes, such as alpha methyl styrene; halostyrenes, such as chloro styrenes; and the like,  $NCA^-$  is any non-coordinating anion as described above. All other symbols are as defined above.

**B. Substituted Carbocation and Silylium Compounds**  
 Generation of trisubstituted carbocations and silylium cations may be performed before use in the polymerization or in situ. Pre-formation and isolation of the cation or the stable cation salts may be accomplished by reacting the alkali or alkaline earth metal salt of the non-coordinating anion with the corresponding halogen of the potential carbocation or silylium similarly to methods known in the art. Formation of the substituted carbocations or silylium in situ occurs in a similar manner to stable salts, but within the vessel and at the desired temperature of polymerization. The advantage of the latter procedure is that it is capable of producing carbocations or silylium cations otherwise too unstable to be handled by the first method. The cation or the precursor to the cation is typically used in 1 to 1 ratios with the NCA, however ratios of 1 to 100 ( $C^+$  or  $Si^+$  to NCA) also work in the practice of this invention.

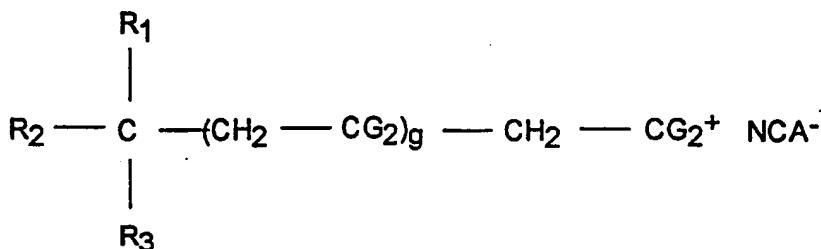
The anion may be combined with the cation by any method known to those of ordinary skill in the art. For example, a composition containing the NCA fragment is first treated to produce the anion in the presence of the reactive cation

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or reactive cation source, i.e. the anion is activated. Likewise the NCA may be activated without the presence of the reactive cation or cation source which is subsequently introduced. In a preferred embodiment a composition containing the anion and a composition containing the reactive cation are combined and allowed 5 to react to form a byproduct, the NCA and the cation. In another preferred embodiment the NCA is introduced into the solvent as a compound containing both the anion and the cation in the form of the active catalyst system.

A novel aspect of this invention is the active carbocationic catalyst complex which is formed and which can be represented by the formulae:

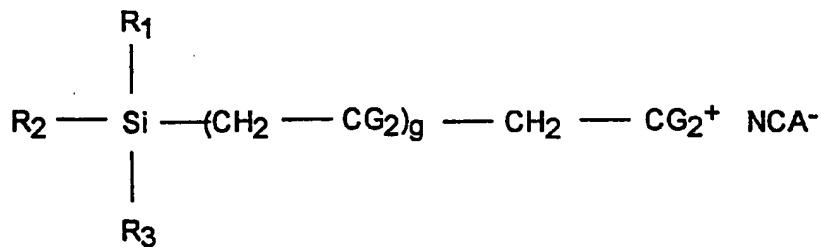
10



wherein each G is independently hydrogen or a hydrocarbyl group, preferably a C<sub>1</sub> to C<sub>100</sub> aliphatic group, and g is a n integer representing the number of monomer units incorporated into the growing polymer chain, g is preferably a number 15 greater than or equal to 1, preferably a number from 1 to about 150,000. NCA<sup>-</sup> is any non-coordinating anion as described above. All other symbols are as defined above.

Yet another novel aspect of this invention is the active carbocationic catalyst complex which is formed and which can be represented by the formulae:

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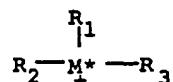


wherein each G is independently hydrogen or an aliphatic or aromatic group, 25 preferably a C<sub>1</sub> to C<sub>100</sub> aliphatic group, and g is a n integer representing the number of monomer units incorporated into the growing polymer chain, g is preferably a number greater than or equal to 1, preferably a number from 1 to

about 150,000. NCA<sup>-</sup> is any non-coordinating anion as described above. All other symbols are as defined above.

**Germanium, Tin and Lead**

5 In addition cationic compositions of germanium, tin or lead, may be used in combination with the NCA's described herein. Preferred compositions include those which are represented by the formula:



10 wherein R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof, preferably C<sub>1</sub> to C<sub>30</sub> alkyl, aryl, aralkyl groups or derivatives thereof, and M\* is Germanium, tin or lead, provided that only one of R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> may be hydrogen. In a preferred embodiment the R groups are a C<sub>1</sub> to C<sub>10</sub> alkyl, preferably methyl, ethyl, propyl, or butyl. These compositions are combined with the NCA in ratios of 1:1 to 1:100.

15

**POLYMERIZATION**

20 The catalyst systems of this invention may be active as combined or may require activation prior to their use as polymerization catalysts. For example the cyclopentadienyl derivatives that are not in the cationic state may be combined with a compound comprising the NCA which acts with the cyclopentadienyl derivative to produce the active cationic catalyst.

25 In general, the active catalyst is combined with monomer in suitable polymerization conditions such as solution or slurry at temperatures below about 20°C, preferably below 0°C according to the known cationic polymerization processes known in the art. In preferred embodiments the polymerization may be conducted at a temperature below about -20 °C, more preferably at a temperature between -50 and 20 °C, more preferably at temperature between -150 and -20 °C

30 Typical monomers which may be polymerized or copolymerized by this system include one or more of: olefins, alpha olefins, styrenic olefins, halogenated styrenic olefins, geminally substituted olefins, and other cationically polymerizable monomers, and or  $\alpha$ -heteroatom olefins. Preferred olefins include isobutylene, isoprene, butadiene, styrene and the like. Preferred alpha olefins include alpha olefins having 2 to 30 carbon atoms, preferably 2 to 20 carbon atoms. Preferred

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alpha olefins include ethylene, propylene, butene, pentene, hexene, heptene, octene, nonene, decene, dodecene, dodecyldooecene, 3-methylpentene-1, 3,5,5-trimethylhexene-1. Preferred styrenic monomers include unsubstituted styrene and alkyl styrenes, where the alkyl group contains up to about 60 carbon atoms, 5 preferably 1 to 30 carbon atoms, even more preferably 1 to 8 carbon atoms. Examples include styrene, alpha-methyl styrene, para-chlorostyrene and para-methylstyrene and the like. Preferred geminally substituted olefins include isobutylene, 2-methylbutene, isoprene and the like. Preferred alpha-heteroatom olefins include alkyl vinyl ethers, and alkyl, alkenyl or aryl amines. Examples 10 include methyl vinyl ether, isobutylvinyl ether, butyl vinyl ether, vinyl carbazole and the like.

Especially preferred monomers include isobutylene, 2-methyl-butene, 2-methyl-pentene, styrene, alpha-methylstyrene, para-methylstyrene, vinyl ether, vinyl carbazole or combinations thereof. A particularly preferred monomer 15 combination is isobutylene combined with para-methyl styrene. Another preferred monomer combination is isobutylene and isoprene.

In another preferred embodiment, dienes polymerized as monomers or comonomers using the catalysts described above. The diene may be conjugated or non-conjugated; cyclic, branched or linear, and comprise up to 100 carbon atoms, 20 even more preferably up to 20 carbon atoms. In a preferred embodiment isobutylene is copolymerized with isoprene and optionally a diene, preferably butadiene.

Depending on the choice of monomer and catalyst components, it is possible for a monomer to be polymerized coordinatively or cationically. Since the 25 selected monomers will choose the path with lower energy requirements, an alpha-olefin might polymerize coordinatively with a cyclopentadienyl transition metal/NCA combination, even at low temperatures, but will polymerize cationically in the presence of a silylium compound/NCA combination. While styrene is known to typically polymerize by cationic mechanism, it is also known to 30 polymerize by coordination mechanism. Thus in a situation where styrene is combined with the cyclopentadienyl derivative/NCA catalyst systems, the polymerization mechanism will typically be determined by the temperature of the polymerization. For Example, at temperatures of 0°C or below the mechanism will be cationic, while at temperature of 50°C or more the mechanism will be 35 coordination. In a preferred embodiment the cyclopentadienyl derivative/NCA catalyst systems are used to polymerize styrene and a second different monomer.

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In another preferred embodiment the cyclopentadienyl derivative/NCA catalyst systems is preferably not combined with styrene monomer only.

The polymerization reaction may be run in typical cationic polymerization reactors and conditions known in the art at temperatures at or below about 20°C, 5 more preferably below about 0°C, preferably below about -20°C, , more preferably at or below -40 °C, more preferably below about -60°C.

10 The polymerization is typically performed in a solution phase, slurry or low pressure reactor. The polymerization is typically complete in about 10 minutes, however, may run from less than one minute to greater than an hour. However, longer polymerization times are still within the scope of this invention.

In a preferred embodiment, the catalyst systems described herein may be used in the process used to produce the products described in US Patent 5,162,445, incorporated by reference herein.

15 Suitable solvents include both polar and non-polar hydrocarbons, such as haloaromatics, hexanes and heptanes, halogenated hydrocarbons, especially chlorinated hydrocarbons, and the like. Specific examples include, but are not limited to, methyl cyclohexane, ethyl cyclohexane, propyl cyclohexane, chloro-benzene, bromo-benzene, fluoro-benzene, iodo-benzene, methyl chloride, 20 methylene chloride, ethyl chloride, propyl chloride, butyl chloride, chloroform and the like. In a preferred embodiment the cyclopentadienyl derivative/NCA catalyst systems are used in one or more polar solvents or in a mixture of polar and non-polar solvents.

25 In a typical polymerization, a cation or cation source such as dimethylsilylmonocyclopentadienyl titanium dimethyl is combined in a polymerization reactor with monomer and non-coordinating anion source as tris(pentafluorophenyl) boron or triphenylmethyltetra(pentafluorophenyl) boron in a solvent, having up to about  $5.0 \times 10^{-3}$  mol/L of residual water. An optional proton scavenger may be present at concentrations equal to or less than the water concentration in the solvent. The reaction is then allowed to run for 30 seconds to 30 over an hour at temperatures below the ceiling temperature of the selected monomer(s), preferably below about -15°C.

35 In preferred embodiments, a proton scavenger is used to control the concentration of the residual water in the solvent. As a general rule, it is preferred that when a cyclopentadienyl transition metal compound is used that the water is present at about  $1 \times 10^{-4}$  mol/L or less. If more water is present, a proton scavenger can be used to control the water concentration, but for best results, the proton scavenger concentration should not be greater than the water

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concentration. For the purposes of this invention and the claims thereto a proton scavenger is defined to be a composition capable of reacting with free protons and not producing a new species of catalyst or if it does produce a new species of catalyst, that catalyst is substantially inactive when compared to the catalyst system 5 of this invention. Preferred proton scavengers are 2,6-di-tert-butylpyridine (DTBP), 4-methyl-2,6-di-tert-butyl-pyridine, 1,8-bis(dimethylamino)-naphthalene or diisopropylethylamine used alone or in combination. Additional information concerning different scavengers useful in these systems can be found in U. S. patents 5,153,157 and 5,241,025 which are incorporated by reference herein.

10 While not wishing to be bound by any theory, it is believed that the water acts with the cation and the NCA to help form the cationic catalyst. The amount of water preferred is dependent on the transition metal utilized. For example, the titanium cyclopentadienyl compounds appear to be adversely affected by greater water concentration while bis cyclopentadienyl zirconium compounds appear to be 15 positively affected by greater water concentration. Hence, in a preferred embodiment low water concentrations, such as  $1 \times 10^{-4}$  or less are used without a proton scavenger.

20 The process of this invention can produce polymers of cationically polymerizable monomers and can also polymerize traditional Zeigler-Natta polymerizable monomers ("coordination monomers"). Hence, this invention can be used to produce reactor blends, interpenetrating networks and the like, of a coordination polymerized monomer and a cationically polymerized monomer by simply varying the reaction conditions and/or the monomers. The variety of reactor blends and interpenetrating networks that can be produced fills a lengthy 25 list. The following table sets out many of the monomers that polymerize according to the coordination mechanism (Group A) and many monomers that polymerize according to cationic mechanism (Group B) in a reactor blend or an interpenetrating network.

Group A

C<sub>2</sub>-C<sub>100</sub> alpha-olefins  
including, but not  
limited to:  
ethylene;  
propylene;  
butene;  
pentene;

Group B

Geminally disubstituted  
olefins including, but not  
limited to:  
isobutylene;  
isopentene;  
isoheptene;  
isohexane;

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hexene	isooctene;
heptene	isodecene;
octene;	isododecene;
nonene;	and
decene;	alpha-heteroatom olefins
dodecene;	including, but not limited
tetradecene;	to:
hexadecene;	vinyl ether;
octadecene;	vinyl carbazole;
dodecyldodecene;	etc. and
3-methyl-pentene-1;	styrenics (substituted or
4-methyl-pentene-1;	unsubstituted) , including
3,5,5,-trimethylhexene-1;	but not limited to:
	styrene;
	alkyl styrene;
	para-alkyl styrene;
	alpha-methyl styrene;
	chloro-styrene;
	bromo-para-methyl styrene;
	and the like.

There are many possible choices of cation/NCA pairs and/or reaction conditions that will produce the desired reactor blends or interpenetrating networks. One simple, non-limiting example of the many choices is selecting a 5 biscyclopentadienyl transition metal compound such as biscyclopentadienyl zirconium dimethyl and triphenylmethyl-perfluorophenyl boron as the catalyst system then contacting this system in a solvent with a cationically polymerizable monomer ("cationic monomer") such as isobutylene (at -20°C, for example) then adding solvent with residual water and coordination monomer (as defined above) 10 such as ethylene to the reactor. The resulting product will then be a reactor blend of polyethylene and polyisobutylene. Likewise, if the timing or flow is altered such that the monomer, such as ethylene or isobutylene, is polymerized into "blocks," blends of block copolymers can also achieved.

Many of the above combinations and processes will also benefit from the 15 ability to use mixed monomer feeds. "Coordination" and "cationic" monomer can now be fed into the reactor in one stream and selectively polymerized by varying the reaction conditions, such as the catalyst, temperature and/or the amount of

water present. Furthermore, the cyclopentadienyl derivative catalysts can also produce block copolymers of coordination monomer and cationic monomer. A preferred combination is a block copolymer of isobutylene and ethylene. Other monomers can be selected from the table above to provide a great variety of these coordination-cationic copolymers.

In general, the cation combined with the NCA can be used to polymerize olefins, particularly geminally disubstituted olefins, and styrenics to high molecular weights, such as an Mn of 10,000 or more, preferably 30,000 or more, preferably 50,000 or more, preferably 70,000 or more, preferably 100,000 or more.

All documents described herein, including testing procedures, and priority documents are incorporated by reference herein. In the following examples the materials prepared and the procedures followed relate to specific embodiments of the broad invention and while forms of the inventions have been illustrated and described, various modifications can be made without departing from the spirit and scope of this invention. Accordingly, it is not intended that the invention be limited thereby.

### EXAMPLES

Molecular weight (Mw and Mn) were measured by Gel Permeation Chromatography using a Waters 150 gel permeation chromatograph equipped with a differential refractive index (DRI) detector. The numerical analyses were performed using the commercially available standard Gel Permeation Chromatography package, run on an HP1000 computer.

#### EXAMPLE 1

Polymerizations were carried out under nitrogen in anhydrous solvents (shown in Table 1 below) either directly as purchased or prepared in the laboratory by techniques known to those of ordinary skill in the art. Isobutylene was dissolved into a solvent(s) at -20°C along with proton scavenger (DTBP) di-tert-butyl-pyridine in some polymerizations before adding the catalyst with stirring. The catalyst was prepared by separately dissolving each component in a solvent of choice and mixing them together. The resulting solution was immediately introduced into the polymerization vessel. Polymerizations were run for a predetermined time and were quenched with methanol addition. Product polymer was isolated by precipitation into methanol. The basic recipe for the polymerization included 10 ml of solvent(s), 10 ml of isobutylene and  $4.4 \times 10^{-5}$

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moles of the catalyst. The catalyst was introduced in approximately 5 to 8 ml of additional solvent.

5      **A. Polymerization with a monocyclopentadienyl derivative and a non-coordinating anion.**

The polymerizations were run according to the procedure described above. The individual run conditions are reported in Table 1 and the results are reported in Table 2.

10

**TABLE 1**

Rxn #	Cp* <sup>2</sup> TiMe <sub>3</sub> (mg)	B(pfp) <sub>3</sub> (mg)	DTBP (μl)	Solvent	Time (min)
94-4	10	32	--	Toluene	30
96-1	10	32	--	MCH	30
96-2	—	32	—	MCH	30
96-4	10	—	—	ClBz	30
97-2	—	32	—	ClBz	30
97-4	—	22	200	ClBz	30
96-3	10	32	--	ClBz	30
97-1	10	32	8	ClBz	30
97-3	10	22	200	ClBz	30
97-6	10	22	—	ClBz/MCH	40
				(80/20)	
97-7	10	22	--	ClBz/MCH	60
				(60/40)	
97-8	10	22	—	ClBz/MCH	135
				(50/50)	
97-9	10	22	—	ClBz/MCH	135
				(50/50)	

Solvents mixed volume to volume

Cp\*<sup>2</sup>TiMe<sub>3</sub> 1,2,3,4,5-(pentamethyl)cyclopentadienyl titanium (IV) trimethyl

B(pfp)<sub>3</sub> tris(pentafluorophenyl) boron

DTBP di-tert-butyl-pyridine

ClBz chlorobenzene

MCH methylcyclohexane

— indicates an absence of this compound.

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**TABLE 2**

Rxn #	Yield (%)	Mn	Mw/Mn
94-4	13	75,000	2.3
96-1	0	ND	ND
96-2	0	ND	ND
96-4	0	ND	ND
97-2	10	ND	ND
97-4	0	ND	ND
96-3	79	38,400	
97-1	77	68,800	
97-3	72	90,700	
97-6	82	108,300	
97-7	42	125,800	
97-8	56	124,500	
97-9	15	117,300	

ND INDICATES NO DATA

5      **B. Polymerization with a biscyclopentadienyl derivative and an NCA.**

The polymerizations were run according to the procedure above in chlorobenzene solvent. The individual conditions and data are reported in Table 3.

**TABLE 3**10      **ISOBUTYLENE POLYMERIZATIONS AT -20°C IN CHLOROBENZENE**

RXN	Catalyst	[Metal] x 10 <sup>3</sup> (mol/L)	[H <sub>2</sub> O] x 10 <sup>3</sup> (mol/L)	[DTBP] x 10 <sup>3</sup> (mol/L)	Time (min)	Isolated Yield (%)	Mn x 10 <sup>3</sup>	Mw/Mn
131-3	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	ND	--	45	63	132	1.8
131-4	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	ND	22.0	45	0	ND	ND
131-6	Cp* <sub>2</sub> Zr Me <sub>2</sub>	2.55	ND	--	45	75	92	2.1

SUBSTITUTE SHEET (RULE 26)

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134-1	Cp* <sub>2</sub> Zr Me <sub>2</sub>	9.2	3.15	44.5	45	20	162.2	1.8
135-1	Cp* <sub>2</sub> Zr Me <sub>2</sub>	4.85	4.5	--	45	60	141.1	1.9
143-3	Cp* <sub>2</sub> ZrMe ?	1.28	0.03	--	60	86	116.0	1.9
148-2	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	2.7 <sup>a</sup>	120	24	147.0	1.8
147-3	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	--	60	37	136.1	1.8
145-5 <sup>b</sup>	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	--	60	13	273	2.3
134-5	(Me <sub>2</sub> Si (THI) <sub>2</sub> ) ZrMe <sub>2</sub>	1.53	3.15	--	90	72	6.6	4.97
145-1	(Me <sub>2</sub> Si (THI) <sub>2</sub> ) ZrMe <sub>2</sub>	1.53	0.03	--	90	44	182.0	1.8
131-5	Cp* <sub>2</sub> Hf Me <sub>2</sub>	1.53	ND	--	90	44	150.0	1.8
133-1	Cp* <sub>2</sub> Hf Me <sub>2</sub>	2.53	3.15	44.5	45	trace	ND	ND
144-3	Cp* <sub>2</sub> Hf Me <sub>2</sub>	1.53	0.03	--	90	29	182	1.7
133-3	Cp* <sub>2</sub> Hf Me <sub>2</sub>	1.53	3.15	--	90	trace	ND	ND
147-4	Cp* <sub>2</sub> HfMe ?	1.5	0.08	--	60	83	100.3	1.82
147-6 <sup>b</sup>	Cp* <sub>2</sub> Hf Me <sub>2</sub>	1.5	0.08	--	60	47	292.1	2.2

ND not determined;

-- indicates that component was not present;

Cp cyclopentadienyl; Me methyl;

Cp\* pentamethylcyclopentadienyl

5 THI tetrahydroindenyl

DTBP 2,6 di-tert-butyl pyridine

a isobutylene added 3 minutes after all ingredients have been added

b Temp. was -40°C

Cp\*<sub>2</sub>ZrMe<sub>2</sub> is bis(pentamethylcyclopentadienyl) zirconium dimethyl.

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Additional polymerizations were run according to the procedure listed above, except that toluene was used as the solvent. The data and conditions are reported in Table 4

5

**TABLE 4**  
**ISOBUTYLENE POLYMERIZATIONS AT -20°C IN TOLUENE**

RXN	Catalyst	[Cat] x 10 <sup>3</sup> (mol/L)	[H <sub>2</sub> O] x 10 <sup>3</sup> (mol/L)	[DTBP] x 10 <sup>3</sup> (mol/L)	Time (min)	Isolated Yield (%)	Mn x 10 <sup>3</sup>	Mw/ Mn
153-1	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	<0.1	--	45	26	96.7	2.1
153-6	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	<0.1	--	45	10	133.9	1.68
153-2	Cp <sub>2</sub> Hf Me <sub>2</sub>	1.47	<0.1	--	45	56	131.4	1.89
153-3	(Me <sub>2</sub> Si (THI) <sub>2</sub> )Zr Me <sub>2</sub>	1.56	<0.1	--	45	18	124.1	1.74

10 Cp cyclopentadienyl  
Cp\* pentamethylcyclopentadienyl  
Me methyl  
THI tetrahydroindenyl  
-- none present

15 **C. Polymerization with Substituted Carbocations**

The polymerizations were run according to the general procedure described above except that 5 ml of isobutylene and 65 ml of methylene chloride(table 6) or chlorobenzene(table 5) (having 1.4 x 10<sup>-3</sup> mol/L H<sub>2</sub>O) were mixed and cooled to -20°C. 83 mg of triphenylmethyl tetrafluorophenylboron 20 were dissolved into 2 ml of methylene chloride and added to the first solution. Polymerization immediately ensued and was allowed to run for 60 minutes. The polymerization was then stopped by quenching with an excess of methanol. The data and conditions are reported in table 5 & 6.

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**TABLE 5**  
**ISOBUTYL VINYLETHER POLYMERIZATIONS AT -20°C IN**  
**CHLOROBENZENE**

RXN	Catalyst	[Cat] x 10 <sup>3</sup> (mol/L)	[H <sub>2</sub> O] x 10 <sup>3</sup> (mol/L)	[DTBP] x 10 <sup>3</sup> (mol/L)	Time (min)	Isolat. Yield (%)	Mn x 10 <sup>3</sup>	Mw/ Mn
154-1	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	--	45	85	5.4	7.69
154-2	(Me <sub>2</sub> Si (THI) <sub>2</sub> ) ZrMe <sub>2</sub>	1.56	0.08	--	45	85	6.7	8.8
155-1	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	3.3	90	21	28.3	4.02
155-4	Cp* <sub>2</sub> Zr Me <sub>2</sub>	1.28	0.08	--	90	58	3.8	4.05
155-2	Cp <sub>2</sub> Hf Me <sub>2</sub>	1.28	0.08	--	90	78	4.8	8.75

5      Cp      cyclopentadienyl  
 Cp\*      pentamethylcyclopentadienyl  
 Me      methyl  
 THI      tetrahydroindenyl  
 -      none present

10

**TABLE 6**  
**ISOBUTYLENE POLYMERIZATIONS CATALYZED BY Ph<sub>3</sub>C<sup>+</sup>B(pfp)<sub>4</sub><sup>-</sup>**

RXN	[M] [monomer] mol/L	[Cat.] x 10 <sup>3</sup> mol/L	[H <sub>2</sub> O] x 10 <sup>3</sup> mol/L	Time (min)	Temp (°C)	Isolat. Yield (%)	Mn x 10 <sup>3</sup>	Mw/ Mn
136-1	0.97	1.38	1.4	60	-20	66	24.5	2.5
136-2	0.32	1.38	1.4	60	-20	16	3.2	7.1
137-2 <sup>a</sup>	0.97	1.38	1.4	60	-20	trace	ND	ND
138-1 <sup>b</sup>	0.97	5.56	1.4	60	-20	4	ND	ND
138-2 <sup>c</sup>	0.97	5.56	1.4	60	-20	trace	ND	ND

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140-4	0.97	5.56	ND	60	-20	61	6.3	2.1
143-6	6.3	1.95	0.03	60	-20	18	3.3	8.3
147-2	6.3	0.72	3.5	60	-20	30	9.9	2.08
134-2	6.3	1.3	6.3	60	-20	56	12	2.29
147-8	6.3	0.72	0.08	60	-40	9	41.3	1.5
147-1	6.3	0.72	3.5	60	-20	30	9.4	2.1
152-4 <sup>d</sup>	6.3	1.3	0.08	60	-20	52	1.5	7.0
152-3 <sup>e</sup>	6.3	1.3	0.08	60	-20	53	5.7	2.45

<sup>a</sup> Ph<sub>3</sub>COH added in 4.1 x 10<sup>-4</sup> mol/L<sup>b</sup> 2,6-dibutylpyridine added in 2.2 x 10<sup>-3</sup> mol/L<sup>c</sup> Ph<sub>3</sub>CCL added in 1.4 x 10<sup>-3</sup> mol/L<sup>d</sup> Phenol added in 2.1 x 10<sup>-3</sup> mol/L<sup>e</sup> Methanol added in 1.2 x 10<sup>-3</sup> mol/L

ND no data

Additional polymerizations were conducted according to the procedure listed in Example 1. The individual conditions and data are reported in table 7.

All the reactions were run at -80°C, except 2-1 and 2-2 which were run at -20°C.

TABLE 7

Isobutylene polymerizations initiated by R<sub>3</sub>C<sup>+</sup>B(pfp)<sub>4</sub><sup>-</sup> produced by insitu reacting lithium tetraperfluorophenylboron "a" with precursor "b", described below:

RXN	Precursor b	[M] Monomer x 10 <sup>3</sup> (mol/L)	[I] x 10 <sup>3</sup> (mol/L)	[Li] <sup>a</sup> x 10 <sup>3</sup> (mol/L)	Sol <sup>c</sup>	Isolated Yield (%)	Mn x 10 <sup>3</sup>	Mw/Mn
36-4 <sup>d</sup>	TBDCC	3.8	2.0	4.3	CH <sub>2</sub> Cl <sub>2</sub>	100	8.77	20.82
36-5	TBDCC	1.9	2.0	4.3	CH <sub>2</sub> Cl <sub>2</sub>	100	87.12	10.9
159-1	TBDCC	1.9	1.9	3.8	m60/40	96	53.7	4.8
159-2	TBDCC	1.9	1.9	3.8	m60/40	96	ND47.2	5.0
159-3 <sup>e</sup>	TBDCC	1.9	1.9	3.8	m60/40	73	15.7	2.4
159-4 <sup>f</sup>	TBDCC	1.9	1.9	3.8	m60/40	86	7.54	3.7
160-1	TMPCI	1.9	1.7	1.9	m60/40	92	1980.2	2.5
160-2	TBDCC	1.9	1.9	3.8	m60/40	87	2.8	1.9
162-4 <sup>g</sup>	TBDCC	6.3	6.3	12.6	CH <sub>2</sub> Cl <sub>2</sub>	81	2.18	3.3

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162-5 <sup>h</sup>	TBDCC	6.3	6.3	12.6	CH <sub>2</sub> Cl <sub>2</sub>	ND	ND	ND
162-6 <sup>i</sup>	TBDCC	6.3	6.3	12.6	CH <sub>2</sub> Cl <sub>2</sub>	61	18.5	6.2
164-1	TBDCC	1.9	1.9	3.8	MCH	85	67.6	2.96
164-2 <sup>f</sup>	TBDCC	1.9	1.9	3.8	MCH	28	12.5	5.0
2-1	PIB-2000	1.9	1.9	3.8	m60/40	94	28.0	3.3
2-2	TBDCC	1.9	1.9	3.8	m60/40	99	4.7	6.0
3-1	BzBr	2.5	1.9	2.5	CH <sub>2</sub> Cl <sub>2</sub>	59	2313.8	2.6
3-2	BzNO <sub>2</sub> Br	2.5	1.9	1.9	CH <sub>2</sub> Cl <sub>2</sub>	8	2900.	1.93
3-3	TBDCC	1.9	1.9	7.6	MCH	100	52.2	3.8
3-5	TBDCC	1.8	1.8	3.75	m70/30	96	30.5	5.6
3-6	TBDCC	1.8	1.8	3.75	m57/43	85	ND	ND
42-4	--	1.9	--	3.8	mx60:40	17	1606.7	2.0

-- none present,

ND no data available,

a lithium tetraperfluorophenylboron;

5 b TBDCC - 1,3-bis(1-chloro-1methylethyl)-5-tert-butylbenzene, TMPCl - 2-chloro-2,4,4-trimethylpentane, PIB-2000 -  $\alpha, \omega$ -t-chloro polyisobutylene whose Mn is 2000, BzBr - benzyl bromide, BzNO<sub>2</sub>Br - o-nitrobenzylbromide;c MCH = methylcyclohexane, CH<sub>2</sub>Cl<sub>2</sub> = methyldichloride m = mix of (MCH)/(CH<sub>2</sub>Cl<sub>2</sub>), numbers indicate volume percent in solution; mx = mix of (hexanes)/(CH<sub>2</sub>Cl<sub>2</sub>), numbers indicate volume percent in solution

10 d sequential addition of 5 ml of monomer after 5 minutes;

e 10 Mol % of  $\beta$ -pinene in the feed;

f 10 Mol% isoprene in the feed;

g  $\beta$ -pinene homopolymerization;

h 10 Mol % p-methylstyrene in the feed;

15 i sequential addition of 3 ml of p-methylstyrene after 5 minutes;

j 10 Mol % 4-vinylcyclohexene in the feed.

The polymerizations ran for 30 to 60 minutes.

20 D. Polymerizations with a Substituted Silylum

The polymerizations were carried out as described above for 10 minutes and except as otherwise noted. The individual conditions and data are reported in Table 8.

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**TABLE 8**  
**ISOBUTYLENE POLYMERIZATIONS WITH  $\text{Et}_3\text{Si}^+\text{B(pfp)}_4^-$**

RXN	[M] mol/L	[Cat] $\times 10^3$ mol/L	Solvent	Temp (°C)	Isolated Yield (%)	Mn $\times 10^3$	Mn/Mw
120-1	6.3	11.0	MCH	-20	54	700	2.7
120-2	6.3	11.0	MCH	-20	48	.9	2.7
120-3	12.6	trace	neat	-20	100	21.4	3.2
121-1	6.3	9.4	MCH	-20	ND	2.1	6.6
123-1	6.3	4.2	MCH	-20	<1	ND	ND
123-2	6.3	2.77	MCH	-80	6	115.9	4.6
123-3	6.3	2.7	ClBz	-45	100	5.9	13.1
129-1 <sup>b</sup>	6.3	1.26	MP	-20	73	77.8	1.75

ND no data available

5 MCH methylcyclohexane

ClBz chlorobenzene

MP methylpropane

<sup>b</sup> 5-t-butyl-bis-1,3(1-chloro-1-methylethyl)benzene.

10 E. Ethylene/Isobutylene Copolymerization with  $\text{Cp}_2\text{HfMe}_2$  &  
 $\text{Ph}_3\text{CB(pfp)}_4$

15 The polymerization was run at -20°C in a stirred Parr reactor. Isobutylene (20ml) was dissolved into 40 ml of dried, anhydrous chlorobenzene directly in the reactor. The reactor was sealed and thermostated in a bath at -20°C. Separately the catalyst was prepared and activated by separately dissolving 10 milligrams of  $\text{Cp}_2\text{HfMe}_2$  and 19 milligrams of  $\text{Ph}_3\text{CB(pfp)}_4$  into 2 ml of solvent each and then combining the solutions. The activated catalyst solution was then added to the stirred reaction vessel. Polymerization of isobutylene ensued and was allowed to continue for a pre-determined time ( $T_1$ ). The reactor was then pressurized to 60 psi (414 kPa) with ethylene. Reactions were allowed to continue maintaining this pressure for a time ( $T_2$ ). The reaction was then quenched with the addition of methanol. The polymer mass was isolated by precipitating with methanol, washing and drying in vacuo. After analysis of Molecular Weight and composition by proton NMR, the samples were extracted according to the schemes below.

25

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TABLE 9

RXN	Catalyst	[Catalyst] M/L x 10 <sup>4</sup>	[H <sub>2</sub> O] M/L x 10 <sup>3</sup>	T <sub>1</sub> (min)	T <sub>2</sub> (min)	Yield (grams)
158-3	Cp <sub>2</sub> HfMe <sub>2</sub>	7.6	0.08	10	4	9.78
158-1	Cp*,ZrMe <sub>2</sub>	6.4	0.08	15	30	11.0

Cp = cyclopentadienyl

Cp\* = pentamethylcyclopentadienyl

5 Me = methyl

TABLE 10

RXN	Mn	Mw/Mn	modality	1H-NMR wt.% PIB	1H-NMR wt.% PE
158-3	9740	11.8	2	84	16
158-1	74,000	8.0	2	85	15

The extraction scheme 1 for sample 158-3 was as follows.

10 0.6092 grams of the sample 158-3 was treated with hot methylcyclohexane and divided into two fractions. Fraction A, the hot methylcyclohexane insoluble portion, was found by <sup>1</sup>H-NMR to show only polyethylene peaks and comprised 12.7 wt % of the original sample. Fraction B, the hot methylcyclohexane soluble portion, was found to show both polyethylene and polyisobutylene <sup>1</sup>H-NMR peaks 15 and comprised 87.3 wt. % of the original sample. Fraction B was then treated with room temperature chloroform and separated into two fractions. Fraction C, the chloroform insoluble fraction, was found to show both polyethylene and polyisobutylene <sup>1</sup>H-NMR peaks. Fraction D, the chloroform soluble fraction, was found to contain only polyisobutylene <sup>1</sup>H-NMR peaks. The <sup>1</sup>H-NMR for fraction 20 C is figure 1. From these data, it is concluded that Fraction A contains polyethylene, Fraction B contains polyethylene, polyisobutylene and a copolymer of ethylene and isobutylene, Fraction C contains ethylene-isobutylene copolymer and fraction D contains polyisobutylene.

25 The extraction scheme for samples 158-3 and 158-1, performed separately was as follows:

The crude sample was treated to hot hexanes. The hot hexane insoluble portion, Fraction 1, was found by <sup>1</sup>H-NMR to show only polyethylene signals. The hot hexanes soluble portion, Fraction 2, was found by <sup>1</sup>H-NMR to show both polyethylene and polyisobutylene signals. Fraction 1 was then further subjected to

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hot methylcyclohexane. Both the insoluble portion and the soluble portion, Fractions 3 and 5 respectively, were found by  $^1\text{H-NMR}$  to show only polyethylene signals. Fraction 2 was subjected to room temperature hexane (room temperature chloroform could be substituted here). The hexane/(chloroform) insoluble portion, 5 Fraction 4, was found by  $^1\text{H-NMR}$  to show both polyethylene and polyisobutylene signals. The hexane/chloroform soluble portion, Fraction 6, was found to only show polyisobutylene signals. Figure 2 is the  $^1\text{H-NMR}$  for Fraction 4. Further information about the extraction is presented in table 11.

10

TABLE 11

Sample	Fraction 3		Fraction 4		Fraction 5		Fraction 6	
	Wt.%	Pol*.	Wt.%	Pol*.	Wt.%	Pol*.	Wt.%	Pol*.
158-3	1.7	PE	1.3	PE, PIB-PE	19	PE	78	PIB
158-1	17.2	PE	0	0	11.6	PE	71.2	PIB

Pol\* = polymers present in the fraction.

15 Additional examples were run according to the procedure described above. The data are reported in Table 12.

TABLE 12

Rxn	[M] (mol/L)	[I] (mol/L) $\times 10^3$	solvent	Temp ( $^{\circ}\text{C}$ )	Yield (%)	Mn	Mw/Mn
11-1	3.6	PPOH, 1.8	MeCl <sub>2</sub>	-30	24	159,300	2.2
11-4	3.6	PPOH, 1.8	Hexane	-30	<1	--	--
11-5	3.6	PPOH, 1.8	ClBz	-30	18	80,000	2.1
12-1	3.6	TBDCC, 2.0	MeCl <sub>2</sub>	-30	82	13,200	4.0
12-7	3.6	H <sub>2</sub> O, 2.5	MeCl <sub>2</sub>	-30	76	164,900	2.6
12-4	3.6	BrMP, 1.6	MeCl <sub>2</sub>	-30	34	199,600	2.9
13-3	3.6	H <sub>2</sub> O, 3.3	MeCl <sub>2</sub>	-50	4	513,300	3.4
75-1	1.8	H <sub>2</sub> O, 1.0	MeCl <sub>2</sub>	-50	96	908,000	4.3
112-1	1.8	H <sub>2</sub> O, 1.0	MeCl <sub>2</sub>	-50	94	225,100	4.9
112-2	3.6	H <sub>2</sub> O, 1.0	MeCl <sub>2</sub>	-50	87	410,600	4.2
75-2	3.6	H <sub>2</sub> O, <0.1	MeCl <sub>2</sub>	-50	17	1,330,880	4.2

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117-3	3.6	H <sub>2</sub> O, <0.1	90/10*	-50	9.9	711,924	5.6
117-4	3.6	H <sub>2</sub> O, <0.1	80/20*	-50	<1	-	-
11-11	3.6	PPOH, 1.8	Toluene	-50	<1	-	-
11-12	3.6	PPOH, 1.8	Hexane	-50	<1	-	-
11-7	3.6	PPOH, 1.8	MeCl <sub>2</sub>	-80	6	1,550,000	2.0
11-10	3.6	PPOH, 1.8	Toluene	-80	<1	-	-

Catalyst concentration (B(pfp)<sub>3</sub>) was  $3.0 \times 10^{-3}$  mol/L, except for 75-1 which was  $4.2 \times 10^{-3}$  mol/L. M=isobutylene, I=initiator, ClBz= chlorobenzene, \* = MeCl<sub>2</sub>/Hexane mixture, run time 30 minutes, PPOH = 2-phenylpropan-2-ol, 5 TBDCC = 5-tert-butyl-1,3-bis(1-chloro-1-methylethyl)benzene, BrMP = 2-methyl-2-bromopropane, MeCl<sub>2</sub> = methylene chloride, MeCl = methyl chloride (numbers refer to the volume percent of each solvent).

10 Isobutylene was then copolymerized with isoprene according to the procedure above, except as noted. The isobutylene concentration was 3.6 mol/L, the isoprene concentration was  $7.8 \times 10^{-2}$  mol/L, the B(pfp)<sub>3</sub> concentration was  $3.9 \times 10^{-3}$  mol/L, the run time was 30 minutes. The results are presented in table 13.

TABLE 13

Rxn	initiator [I] x 10 <sup>3</sup> mol/L	Temp (°C)	Yield (%)	Mn	Mw/Mn	% Isoprene incorp.	% branch
11-2	PPOH, 1.8	-30	44	94,400	2.1	1.0	0.07
12-2	TBDCC, 2.0	-30	55	53,900	2.5	1.4	0.05
12-5	BrMP, 1.8	-30	7	173,100	2.0	1.0	0.07
13-1	H <sub>2</sub> O, 2.4	-30	14	103,800	3.1	1.3	0
112-3	H <sub>2</sub> O, 1.0	-50	21	218,300	2.8	0.7	0
11-8	PPOH, 1.8	-80	3	332,500	3.3	0.6	0

15 % branch and isoprene incorporation were determined by proton NMR

Isobutylene was copolymerized with paramethylstyrene following the procedure generally described above, except as noted the data are reported in Table 14. The isobutylene (ISB) concentration was 3.6 mol/L, the 20 paramethylstyrene concentration was  $7.8 \times 10^{-2}$  mol/L, the B(pfp)<sub>3</sub> concentration was  $3.9 \times 10^{-3}$  mol/L, the run time was 30 minutes. % PMS (paramethylstyrene)incorporation, % ISB determined by proton NMR.

TABLE 14

Rxn	Solvent	Temp (°C)	Yield (%)	Mn	Mw/Mn	% PMS incorp.	% ISB
11-3	MeCl <sub>2</sub>	-30	85	43,500	2.3	1.4	75
11-6	ClBz	-30	40	33,300	2.0	1.5	78
12-3	MeCl <sub>2</sub>	-30	94	16,900	2.8	1.7	71
12-6	MeCl <sub>2</sub>	-30	53	22,400	3.6	2.0	71
13-2	MeCl <sub>2</sub>	-30	47			2.0	78
114-1	MeCl <sub>2</sub>	-50	39	127,400	2.3	1.5	70
13-4	MeCl <sub>2</sub>	-50	4	112,300	3.1	NA	NA
115-6	MeCl <sub>2</sub>	-50	41	92,900	2.3	1.5	72

NA = not analyzed

5

DISCUSSION

This invention demonstrates that many new, and heretofore unknown, carbocationic polymerization possibilities exist, including combining a reactive cationic source such as a metallocene with a non-coordinating anion and obtaining a combination capable of initiating cationic polymerization of olefins. Since polymer molecular weight and polymerization attributes are typically dependent upon the nature of the reactive cation source in a cationic polymerization, this invention also provides new methods to obtain higher molecular polymers weight with other improved polymerization attributes, such as, but not limited to, yield, molecular weight distribution, conversion and the like. These combinations were not possible with the traditional Lewis acid based systems.

Cationic polymerization of isobutylene and other cationically polymerizable monomers, such as isobutylvinylether, via transition metal derivatives and non-coordinating anions is demonstrated in Tables 1, 2, 3, 4 and 5. (This form of initiation can also be extended to other traditional cationic monomers described in H.-G. Elias in Macromolecules (Plenum Press, 1984, Vol. 2, p. 641f.)) Furthermore, not only do these catalyst systems polymerize cationically, they are also capable of generating unexpectedly high molecular weight polyisobutylenes at temperatures higher than typically used for known Lewis acid systems. Note that polymerizations in Table 4 are run at -20°C yet still obtain excellent number average and weight average molecular weights ( $M_w = M_n \times M_w/M_n$ ). Few other

5 catalysts can obtain this performance at this temperature. See J.P. Kennedy in Cationic Polymerization of Olefins: A Critical Inventory (J. Wiley & Sons, 1975, p. 130, et seq.) for evidence that demonstrates that few other catalysts exceed the performance of this invention. Furthermore, few of these known catalysts found in Kennedy's book are homogenous and as chemically simple as this invention.

10 The biscyclopentadienyl transition metal compounds used in this invention are known to catalyze the coordination polymerization of certain olefin monomers such as ethylene. Ethylene, however, is a poor cationic monomer and is not expected to polymerize cationically in the presence of these catalysts. Since the ability of these catalysts to polymerize isobutylene and isobutylvinylether, 15 traditional cationic monomers, has been demonstrated, the duality of these catalysts toward both cationic and coordination polymerization has been revealed. Thus, provided that appropriate conditions are used for the selected monomer set, it is possible for one catalyst to polymerize both monomer types in one reactor. Furthermore depending on the catalyst and conditions selected, blends or 20 copolymers of the two different classes of monomers can be made.

25 One of the many facets of the dual nature of a preferred embodiment of this invention is demonstrated in Tables 9, 10 and 11 where a copolymer of ethylene and isobutylene is shown. Evidence that a copolymer of ethylene and isobutylene has been produced is provided by solvent extraction data. Extraction and analysis 30 of the products indicate whether a blend or a copolymer or a mixture of the two is prepared during a reaction. The data presented here indicate that both blends and copolymer formation are possible.

35 This invention also demonstrates that proton initiation of cationic monomer polymerization by action of a stable cation salt of a Lewis acid metal halide with a proton source, such as water, alcohols, etc. is possible when the stable cation salt contains a non-coordinating anion as is demonstrated in Table 6. While, reactions of water with stable cation salts are known (A. Ledwith and D.C. Sherrington, Adv. Polym. Sci., 19, 1(1973), they are not known to lead to the polymerization of isobutylene. This invention's use of a non-coordinating anion permits initiation of isobutylene from a water borne proton thus providing the key element necessary for making this reaction an initiation step for isobutylene polymerization. This conclusion is supported by the data in Experiments 136-1, 136-2, and 143-6, 147-2, 134-2 which demonstrate that an increase in monomer concentration or water concentration increases the overall yield. This indicates that both "water" and monomer are being consumed in the polymerization reaction. Experiments 137-2 and 138-2 demonstrate that increasing the byproduct concentration (Ph<sub>3</sub>COH) or

introducing a common salt ( $\text{Ph}_3\text{CCl}$ ) with poorer dissociative properties, inhibits the initiation of isobutylene, i.e. the byproduct drives the equilibrium to the left tying up more of the protons so that less of NCA is balanced with a free proton. Thus proton initiation of a cationic polymerization is now possible by using the 5 non-coordinating anions as described herein.

In addition this invention also provides for a method to initiate cationic polymerization by in situ formation of a carbocationic initiation site. For example, reaction of the lithium salt of the NCA with an active organic halide (defined as one that will provide a relatively stable carbocation such as benzylic, allylic, t-alkyl, 10 etc.) will produce an active catalyst site that will polymerize cationic monomers. Similar reactions to cause initiation of carbocationic polymerization by reacting an organic halide with a metal salt of a Lewis acid metal halide are known, however, the reaction is usually a poor initiator providing poor yields unless a silver salt of the Lewis acid salt is used (e.g.  $\text{AgBF}_4$ ). Silver salts were used to ensure 15 complete reaction by the precipitation of the silver halide (usually chloride or bromide) salt. Likewise, lower metal salts, like alkali and alkaline earth's, are generally incapable of providing complete reaction for initiation. This is especially the case for lithium salts. Thus it is unexpected to find that the lithium salt of a NCA is so efficient at causing initiation of isobutylene polymerization using this 20 technique. Indeed in most cases, yields obtained using the lithium salt/NCA combination are quite high and molecular weight over the entire spectrum can be prepared by selecting solvent and temperature conditions as well as the concentrations of monomer and the two components of the initiator (organic halide and lithium salt of the NCA).

Finally, Table 8 demonstrates yet another method to initiate cationic polymerization using NCA's. In Table 8 the NCA concept is applied to initiation from silylum salts. Relatively stable silylum salts are relatively new chemical compounds (C&EN, Nov. 8, 1993, p.41) and it is unexpected that these salts will polymerize olefins. This invention however provides a silylum composition that 25 catalyzes a virtually terminationless polymerization. When combined with NCA's the silylum salts in polar solvents or in neat isobutylene, these polymerizations are "terminationless" as defined by D. C. Pepper and P.J. Reilly for styrene polymerization (Proced. Royal Soc. Chem., Ser. A, 291, 41 (1966)). In other words, once the first monomer charge is consumed, further addition of monomer 30 continues the polymerization and results in complete consumption of the second batch. This process may be continued until either a contamination is brought into the reactor or the reaction is purposefully quenched. A terminationless 35

polymerization catalyst system for cationic monomers, particularly isobutylene polymerization has not been previously known.

All documents described herein are incorporated by reference herein, including any priority documents. As is apparent from the foregoing general description and the specific embodiments, while forms of the invention have been illustrated and described, various modifications can be made without departing from the spirit and scope of the invention. Accordingly, it is not intended that the invention be limited thereby.

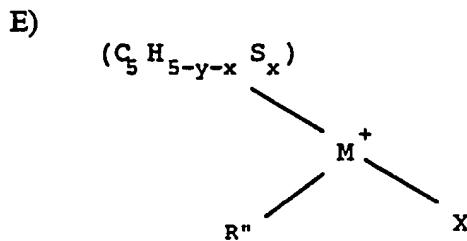
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CLAIMS:

We claim:

1. A process for polymerization of cationically polymerizable olefins comprising contacting under polymerization conditions, in the presence of one or more solvents, one or more olefins with a catalyst comprising:
  - a cation, and
  - a compatible non-coordinating anion,
 provided that if said olefin is styrene, a different olefin is also present if said cation is a cyclopentadienyl titanium metal cation.
2. The process of claim 1 wherein the cation is a cyclopentadienyl transition metal cation, a substituted carbon cation, a substituted silylum cation, a proton, a substituted germanium cation, a substituted tin cation or a substituted lead cation.
3. The process of claim 1 wherein the cation is represented by the formulae:
  - A)  $(A-Cp)M^+$   
|  
 $X_1;$
  - B)  $(A-Cp)M^+$   
|  
 $L;$
  - C)  $(Cp^*)—M^+;$   
 $(CpR)$   
|  
/ \
  - D)  $(C_5H_5-y-xS_x)$   
/ \      M<sup>+</sup>  
 $(A')_y$       M<sup>+</sup>  
/ \      |  
JR' (z-1-y)      x  
; and

- 40 -



wherein:

5       (A-Cp) is either  $(Cp)(Cp^*)$  or  $Cp-A'-Cp^*$ ;

10      Cp and  $Cp^*$  are the same or different cyclopentadienyls substituted with from zero to five substituent groups S, each substituent group S being, independently, a radical group which is a hydrocarbyl, substituted-hydrocarbyl, halocarbyl, substituted-halocarbyl, hydrocarbyl-substituted organometalloid, halocarbyl-substituted organometalloid, disubstituted boron, disubstituted pnicogen, substituted chalcogen or halogen radicals, or Cp and  $Cp^*$  are cyclopentadienyls in which any two adjacent S groups are joined forming a  $C_4$  to  $C_{20}$  ring system to give a saturated or unsaturated polycyclic cyclopentadienyl ligand;

15      R is a substituent on one of the cyclopentadienyl radicals;

20      A' is a bridging group, which group may serve to restrict rotation of the Cp and  $Cp^*$  rings or  $(C_5H_{5-y-x}S_x)$  and  $JR'(z-1-y)$  groups;

25      M is a Group 4, 5, or 6 transition metal;

30      y is 0 or 1;

20       $(C_5H_{5-y-x}S_x)$  is a cyclopentadienyl radical substituted with from zero to five S radicals;

25      x is from 0 to 5 denoting the degree of substitution;

30       $JR'(z-1-y)$  is a heteroatom ligand in which J is a Group 15 element with a coordination number of three or a Group 16 element with a coordination number of 2;

35      R'' is a hydrocarbyl group;

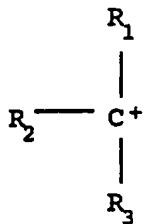
40      X and  $X_1$  are independently a hydride radical, hydrocarbyl radical, substituted hydrocarbyl radical, halocarbyl radical, substituted halocarbyl radical, and hydrocarbyl- and halocarbyl-substituted organometalloid radical or substituted pnicogen radical; and

45      L is an olefin, diolefins or aryne ligand, or a neutral Lewis base.

4.      The process of claim 1 wherein the cation is represented by the formulae:

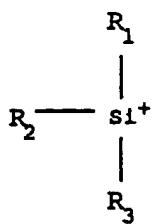
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5 wherein  $R_1$ ,  $R_2$  and  $R_3$  are independently hydrogen, or a linear, branched or cyclic aliphatic or aromatic groups, provided that only one of  $R_1$ ,  $R_2$  or  $R_3$  may be hydrogen.

5. The process of claim 1 wherein the cation is represented by the formula:

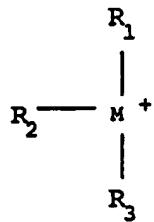


10

wherein  $R_1$ ,  $R_2$  and  $R_3$  are independently hydrogen, or a linear, branched or cyclic aliphatic or aromatic groups, provided that only one of  $R_1$ ,  $R_2$  or  $R_3$  may be hydrogen.

15

6. The process of claim 1 wherein the cation is selected from the compounds represented by the following formula:



20

wherein  $M$  is germanium, tin or lead, and  $R_1$ ,  $R_2$  and  $R_3$  are independently hydrogen, or a linear, branched or cyclic aliphatic or aromatic groups, provided that only one of  $R_1$ ,  $R_2$  or  $R_3$  may be hydrogen.

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7. The process of claim 2, wherein a metal of said cyclopentadienyl transition metal is titanium, zirconium or hafnium.
8. The process of claim 1 wherein the compatible non-coordinating anion is represented by the formulae:  
5 
$$[(M')^m+Q_1\dots Q_n]^d-$$
wherein:  
M' is a metal or metalloid;  
d is an integer greater than or equal to 1;  
10 Q<sub>1</sub> to Q<sub>n</sub> are, independently, bridged or unbridged hydride radicals, dialkylamido radicals, alkoxide and aryloxide radicals, hydrocarbyl and substituted-hydrocarbyl radicals, halocarbyl and substituted-halocarbyl radicals and hydrocarbyl and halocarbyl-substituted organometalloid radicals and one of Q<sub>1</sub> to Q<sub>n</sub> may be a halide radical;  
15 m is an integer representing the valence charge of M'; and n is the total number of ligands Q.
9. The process of claim 1 wherein the compatible non-coordinating anion is triphenylmethylperfluorotetraphenylboron or tris(pentafluorophenyl) boron.
10. The process of claim 1 further including a proton scavenger.
11. The process of claim 10 wherein the proton scavenger is one of 2,6-di-tert-butylpyridine, 4-methyl-2,6-di-tert-butyl-pyridine, 1,8-bis(dimethylamino)-25 naphthalene, diisopropylethylamine or mixtures thereof.
12. The process of claim 1 wherein the polymerization conditions are at a temperature less than about -20 °C.
13. The process of claim 1 wherein the ratio of number of moles of cation to the number moles of non-coordinating anion is about 1 to 1.
14. The process of claim 1 wherein the olefin comprising polymerizing cationically polymerizable olefins.
15. The process of claim 1 wherein said solvent is a polar solvent.

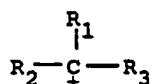
16. A copolymer produced by the process of claim 1.
17. A process for the production of a blend of a polymer of one or more coordination polymerizable monomers and a polymer of one or more cationically polymerization monomers comprising contacting a cyclopentadienyl transition metal cation with a compatible non-coordinating anion under polymerization conditions in one or more solvents with a coordination polymerizable monomer and a cationically polymerizable monomer.
- 10 18. A polymer blend produced by the process of claim 17.
19. The process of claim 1 wherein the polymerization is conducted at a temperature between -150 °C and +20°C.
- 15 20. The process of claim 1 wherein said olefin is isobutylene.
21. A process according to claim 1 wherein said olefins are isobutylene and isoprene.
- 20 22. A process according to claim 1 wherein said olefins are isobutylene and para alkylstyrene .
23. The process of claim 22 wherein said para alkylstyrene is para methylstyrene.
- 25 24. The process of claim 20 wherein the polymerization temperature is between about -50°C and about 0°C.
- 30 25. A process to produce a polymer of a first olefin comprising contacting said first olefin under cationic polymerization conditions at a temperature between about -50°C and about 0°C in the presence of one or more solvents, with a catalyst system comprising a cation and a non-coordinating anion.
- 35 26. A process in accordance with claim 26 wherein one or more other olefins are present during polymerization to form a copolymer of said first olefin and said one or more other olefins by coordination polymerization using the same catalyst system.

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27. A process in accordance with claim 25 wherein said first olefin is isobutylene.

5 28. A catalyst system comprising:

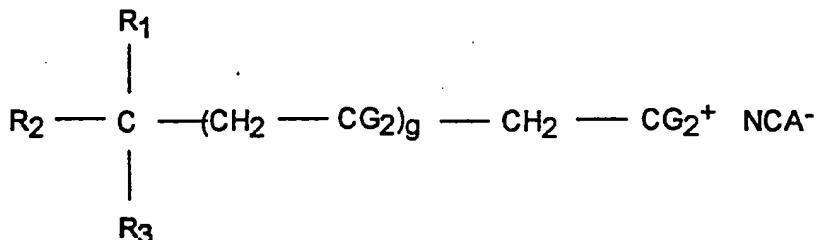
(a) substituted carbocations represented by the formula:



10 wherein  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof provided that only one of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen when any of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen; and

(b) a non-coordinating anion.

15 29. A catalyst system represented by the formulae:



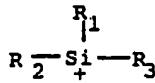
wherein each G is independently hydrogen or a hydrocarbyl group, and g is an integer greater than or equal to 1 representing a number of monomer units

20 -( $\text{CH}_2$ - $\text{CG}_2$ )- incorporated into a polymer chain; and  
 $\text{NCA}^-$  is a non-coordinating anion

30. A catalyst system comprising:

(a) substituted silylium represented by the formula:

25

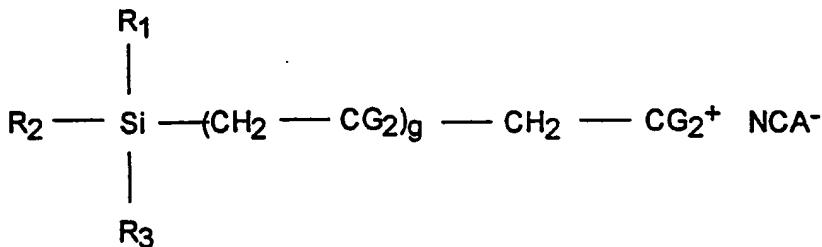


wherein  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  are hydrogen, alkyl, aryl, aralkyl groups or derivatives thereof provided that only one of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen when any of  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{R}_3$  is hydrogen; and

- 45 -

(b) a non coordinating anion.

31. A catalyst system represented by the formulae:



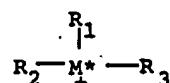
5 wherein each G is independently hydrogen or a hydrocarbyl group, and g is an integer greater than or equal to 1 representing a number of monomer units  $-(CH_2-CG_2)-$  incorporated into a polymer chain; and,  $NCA^-$  is a non-coordinating anion.

10 32. A catalyst system comprising:

- (a) a proton source; and
- (b) a non-coordinating anion.

15 33. A catalyst system comprising:

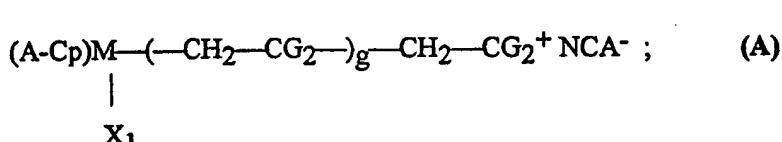
(a) cationic compositions of germanium, tin or lead represented by the formula:



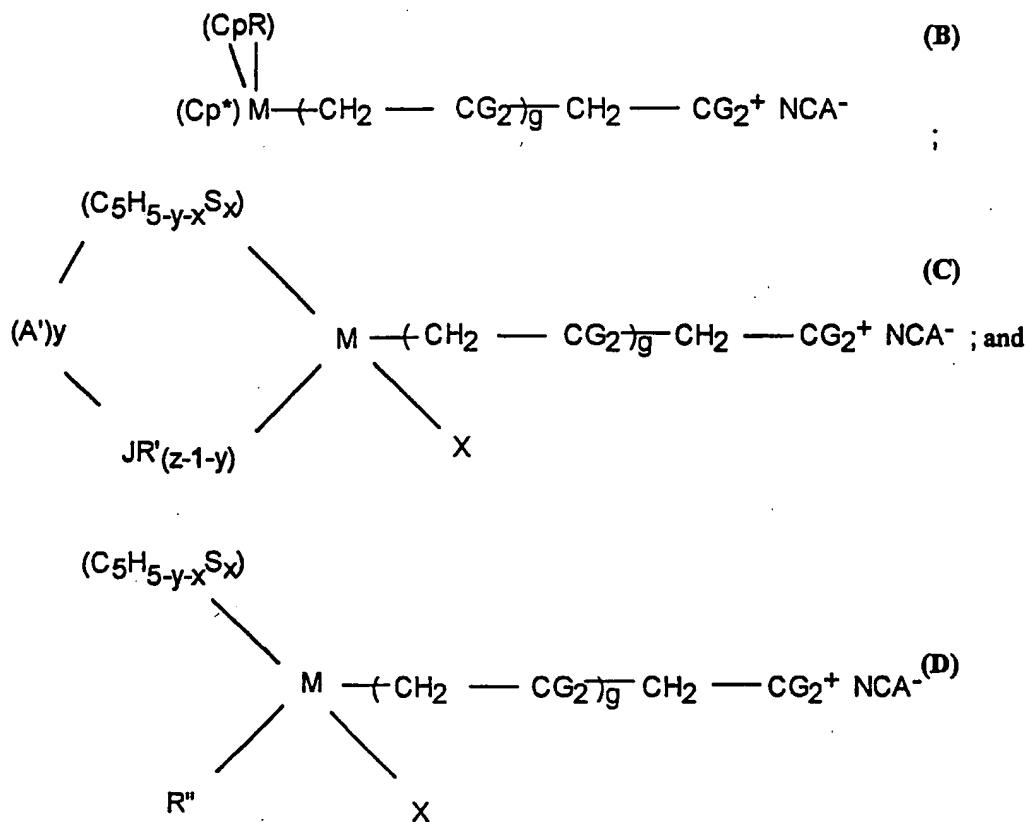
20 wherein  $R_1$ ,  $R_2$  and  $R_3$  are hydrogen, alkyl, aryl, aralkyl groups or derivatives and  $M^*$  is Ge, Sn or Pb, provided that only one of  $R_1$ ,  $R_2$  and  $R_3$  is hydrogen when any of  $R_1$ ,  $R_2$  and  $R_3$  is hydrogen; and

(b) a non coordinating anion.

25 34. A catalyst system represented by any of the formulae A, B, C or D:



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wherein each G is independently hydrogen or an aromatic or aliphatic group, and g

5 is an integer greater than or equal to 1 representing a number of monomer units -  
 $(\text{CH}_2\text{-CG}_2\text{-})$  incorporated into a polymer chain,  
and further wherein:

(A-Cp) is either  $(\text{Cp})(\text{Cp}^*)$  or  $\text{Cp-A'-Cp}^*$ ;

10  $\text{Cp}$  and  $\text{Cp}^*$  are the same or different cyclopentadienyl rings substituted  
with from zero to five substituent groups S, each substituent group S being,  
independently, a radical group which is a hydrocarbyl, substituted-hydrocarbyl,  
halocarbyl, substituted-halocarbyl, hydrocarbyl-substituted organometalloid,  
halocarbyl-substituted organometalloid, disubstituted boron, disubstituted  
pnictogen, substituted chalcogen or halogen radicals, or  $\text{Cp}$  and  $\text{Cp}^*$  are  
15 cyclopentadienyl rings in which any two adjacent S groups are joined forming a  $\text{C}_4$   
to  $\text{C}_{20}$  ring system to give a saturated or unsaturated polycyclic cyclopentadienyl  
ligand;

- 47 -

R is a substituent on one of the cyclopentadienyl radicals which is also bonded to the metal atom;

A' is a bridging group, which group may serve to restrict rotation of the Cp and Cp\* rings or (C<sub>5</sub>H<sub>5-y-x</sub>S<sub>x</sub>) and JR'(z-1-y) groups;

5 M is a Group 4, 5, or 6 transition metal;

y is 0 or 1;

(C<sub>5</sub>H<sub>5-y-x</sub>S<sub>x</sub>) is a cyclopentadienyl ring substituted with from zero to five S radicals;

x is from 0 to 5 denoting the degree of substitution;

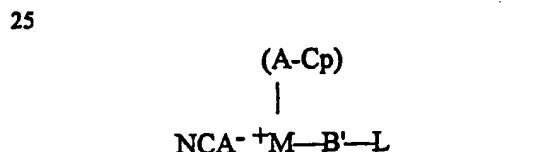
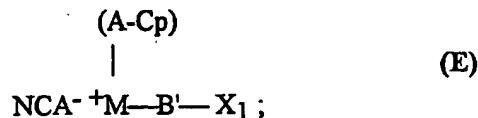
10 JR'(z-1-y) is a heteroatom ligand in which J is a Group 15 element with a coordination number of three or a Group 16 element with a coordination number of 2, preferably nitrogen, phosphorus, oxygen or sulfur;

R" is a hydrocarbyl group, preferably an alkyl group;

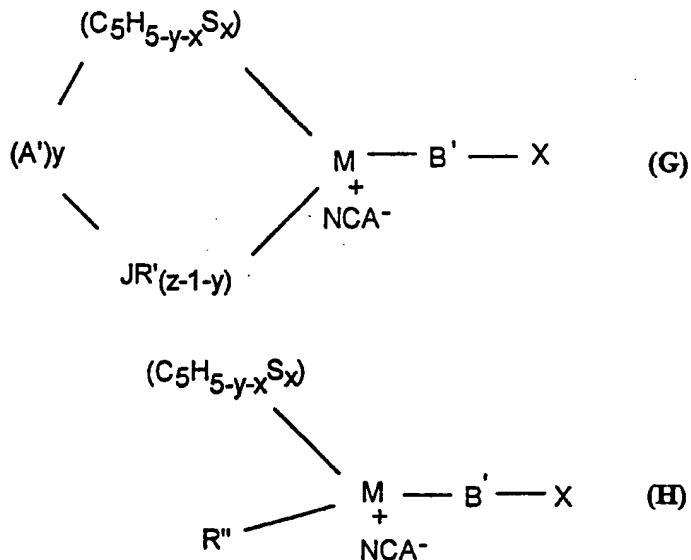
15 X and X<sub>1</sub> are independently a hydride radical, hydrocarbyl radical, substituted hydrocarbyl radical, halocarbyl radical, substituted halocarbyl radical, and hydrocarbyl- and halocarbyl-substituted organometalloid radical, substituted pnictogen radical, or substituted chalcogen radicals, and

NCA is a non-coordinating anion.

20 35. A catalyst system represented by any of the formulae E, F, G and H:



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wherein B' is a polymer of one or more monomers selected from the group consisting of iso(C<sub>4</sub> to C<sub>24</sub>)olefins, alpha-heteroatom olefins, alpha-alkylstyrenes, and halostyrenes, and

5 further wherein:

(A-Cp) is either (Cp)(Cp\*) or Cp-A'-Cp\*;

10 Cp and Cp\* are the same or different cyclopentadienyl rings substituted with from zero to five substituent groups S, each substituent group S being, independently, a radical group which is a hydrocarbyl, substituted-hydrocarbyl, halocarbyl, substituted-halocarbyl, hydrocarbyl-substituted organometalloid, halocarbyl-substituted organometalloid, disubstituted boron, disubstituted pnicogen, substituted chalcogen or halogen radicals, or Cp and Cp\* are cyclopentadienyl rings in which any two adjacent S groups are joined forming a C<sub>4</sub> to C<sub>20</sub> ring system to give a saturated or unsaturated polycyclic cyclopentadienyl ligand;

15 R is a substituent on one of the cyclopentadienyl radicals which is also bonded to the metal atom;

20 A' is a bridging group, which group may serve to restrict rotation of the Cp and Cp\* rings or (C<sub>5</sub>H<sub>5-y</sub>S<sub>x</sub>) and JR'(z-1-y) groups;

M is a Group 4, 5, or 6 transition metal;

y is 0 or 1;

(C<sub>5</sub>H<sub>5-y</sub>S<sub>x</sub>) is a cyclopentadienyl ring substituted with from zero to five S radicals;

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x is from 0 to 5 denoting the degree of substitution;

JR'<sup>(z-1-y)</sup> is a heteroatom ligand in which J is a Group 15 element with a coordination number of three or a Group 16 element with a coordination number of 2, preferably nitrogen, phosphorus, oxygen or sulfur;

5 R" is a hydrocarbyl group, preferably an alkyl group;

X and X<sub>1</sub> are independently a hydride radical, hydrocarbyl radical, substituted hydrocarbyl radical, halocarbyl radical, substituted halocarbyl radical, and hydrocarbyl- and halocarbyl-substituted organometalloid radical, substituted pnictogen radical, or substituted chalcogen radicals; and

10 NCA is a non-coordinating anion.

36. A polymer produced by the process of claim 25.

37. A copolymer produced by the process of claim 26.

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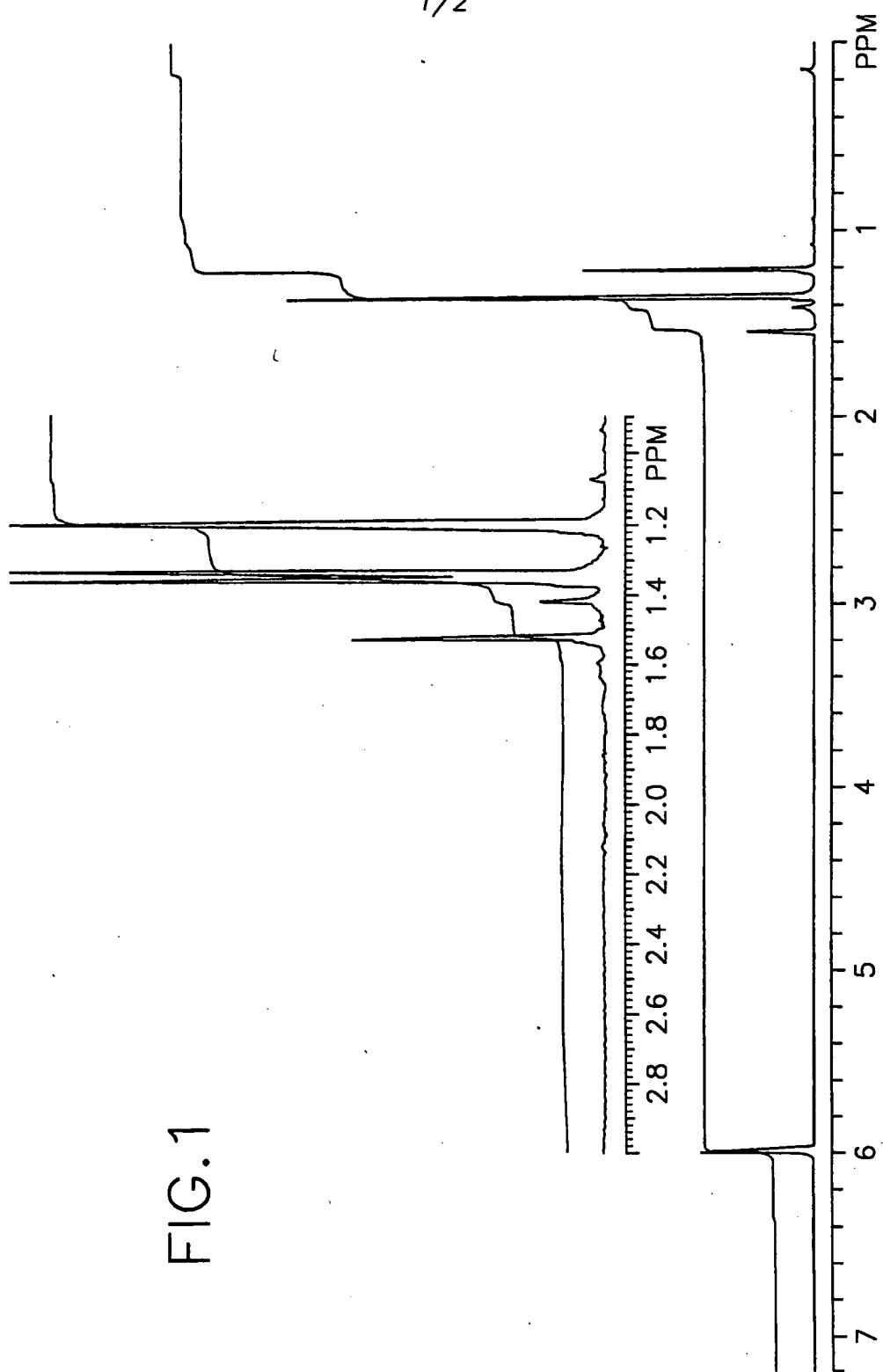


FIG. 1

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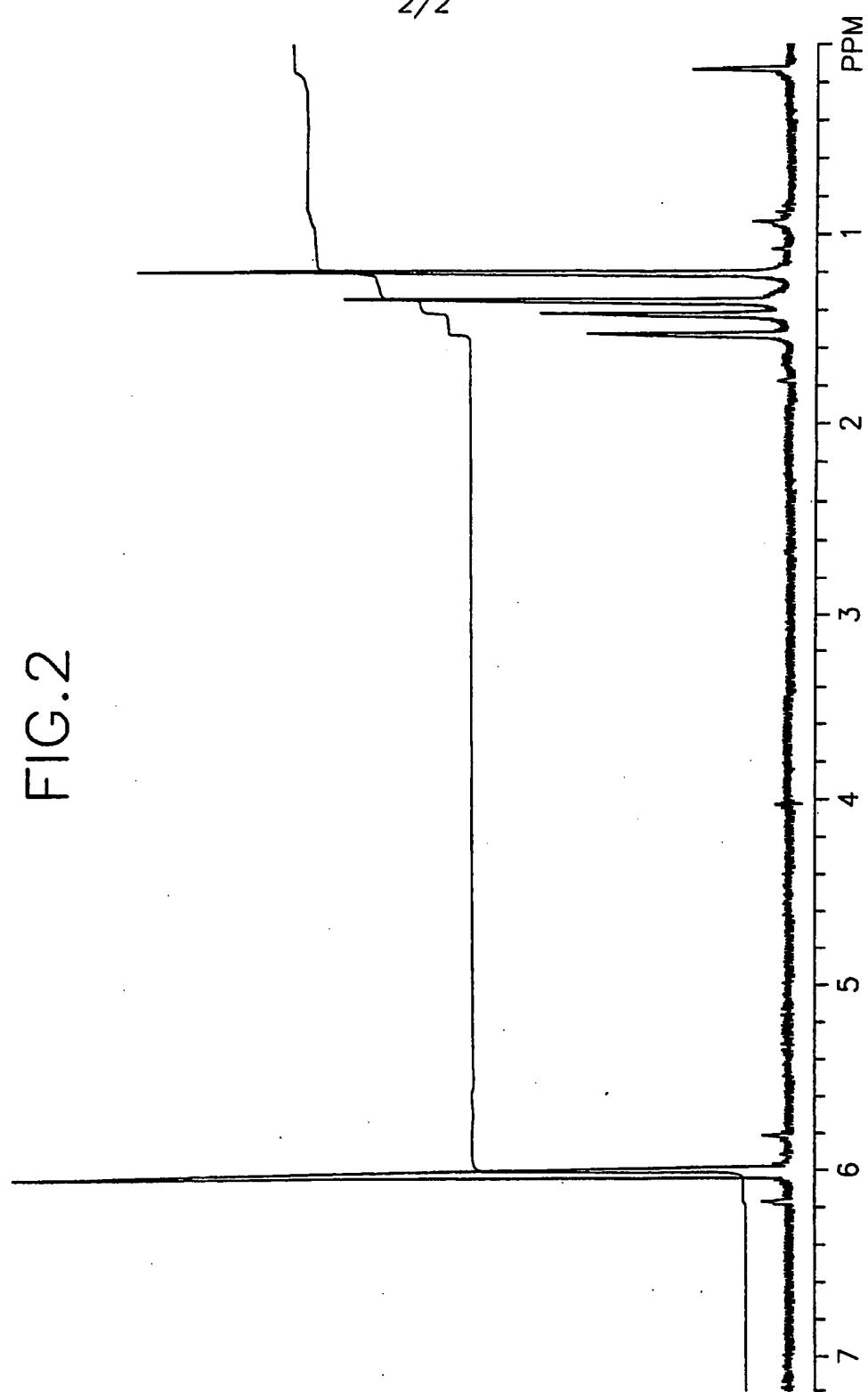


FIG.2

**INTERNATIONAL SEARCH REPORT**

Int'l. Application No  
PCT/US 95/05302

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C08F10/00 C08F12/04

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C08F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP-A-0 505 973 (IDEMITSU KOSAN COMPANY LIMITED) 30 September 1992 see claims 1-3 see examples 1,2 ---	1-3, 7, 8, 13, 14, 16-18
A	WO-A-95 05405 (QUEEN'S UNIVERSITY AT KINGSTON) 23 February 1995 see claims 1,7 see example 1; table 1 see example 4 ---	25, 26, 36, 37
P, X	EP-A-0 505 997 (IDEMITSU KOSAN COMPANY LIMITED) 30 September 1992 see examples 1-13 ---	1-3, 7-9, 12-15, 19
P, X		25
X		1-3
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

3 Date of the actual completion of the international search

Date of mailing of the international search report

2 August 1995

30 AUGUST 1995

Name and mailing address of the ISA

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Fischer, B

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/05302

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 16 no. 479 (C-00992) ,6 October 1992 & JP,A,04 175303 (DAINIPPON INK & CHEM IND) 23 June 1992, see abstract ---	1
X	EP-A-0 277 003 (EXXON CHEMICAL PATENTS INC) 3 August 1988 cited in the application see claim 1 see page 3, line 34 - line 44 see page 5, line 33 - page 6, line 7 ---	32
X	WO-A-93 21238 (MINNESOTA MINING AND MANUFACTURING COMPANY) 28 October 1993 see page 7 see claims 1,11,21 ---	32
X	EP-A-0 554 574 (IDEMITSU KOSAN COMPANY LIMITED) 11 August 1993 see examples 1-7 see claims 1,11,12 ---	28
X	EP-A-0 573 120 (SOLVAY) 8 December 1993 see example 1 ---	28
P,X	WO-A-95 07942 (THE DOW CHEMICAL COMPANY) 23 March 1995 see page 27, paragraph 1 - paragraph 2 ---	28,30
A	JOURNAL OF POLYMER SCIENCE PART A: POLYMER CHEMISTRY EDITION, vol. 32, no. 4, March 1994 NEW YORK, pages 661-673, XP 000424277 RICARDO FIERRO 'Syndioselective propylene polymerization...' see page 668, column 2 ---	29,34,35
A	EP-A-0 496 193 (HOECHST AKTIENGESELLSCHAFT) 29 July 1992 see claim 1 see examples 10-14 -----	29,34,35

**INTERNATIONAL SEARCH REPORT**

International Application No  
PCT/US 95/05302

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A-505973	30-09-92	JP-A-	4300904	23-10-92
WO-A-9505405	23-02-95	NONE		
EP-A-505997	30-09-92	JP-A-	5097927	20-04-93
		US-A-	5378777	03-01-95
		JP-A-	5093016	16-04-93
EP-A-277003	03-08-88	AU-B-	610863	30-05-91
		AU-A-	1294588	24-08-88
		EP-A-	0478913	08-04-92
		JP-T-	1501950	06-07-89
		US-A-	5384299	24-01-95
		US-A-	5391629	21-02-95
		US-A-	5408017	18-04-95
		WO-A-	8805792	11-08-88
		US-A-	5407884	18-04-95
		US-A-	5198401	30-03-93
		US-A-	5278119	11-01-94
WO-A-9321238	28-10-93	US-A-	5296433	22-03-94
		AU-B-	3797193	18-11-93
		CA-A-	2132206	28-10-93
		EP-A-	0636151	01-02-95
		US-A-	5416177	16-05-95
EP-A-554574	11-08-93	JP-A-	5186527	27-07-93
EP-A-573120	08-12-93	BE-A-	1005957	05-04-94
		JP-A-	6032830	08-02-94
WO-A-9507942	23-03-95	AU-B-	7799394	03-04-95
EP-A-496193	29-07-92	AU-B-	646537	24-02-94
		AU-A-	1015292	16-07-92
		CA-A-	2059029	13-07-92
		JP-A-	4308598	30-10-92
		US-A-	5169818	08-12-92
		US-A-	5262498	16-11-93

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